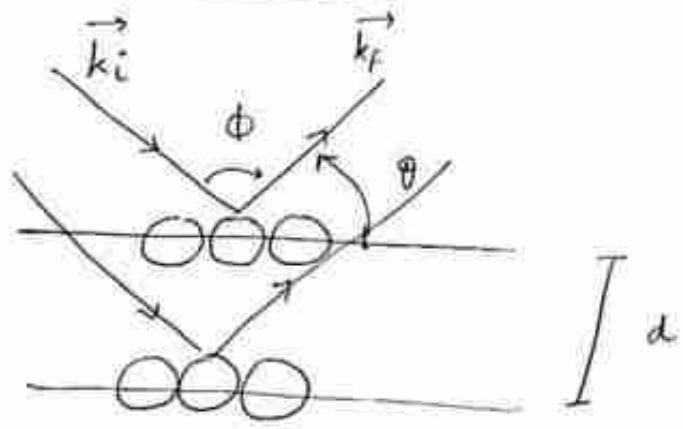


There are two types of solids www.studyvillas.com

- (i) Amorphous
- (ii) Crystalline \rightarrow internal structure can be replicated in terms of some fundamental units called crystals, therefore it has ordered arrangement of atoms.

Crystal Structure Determination



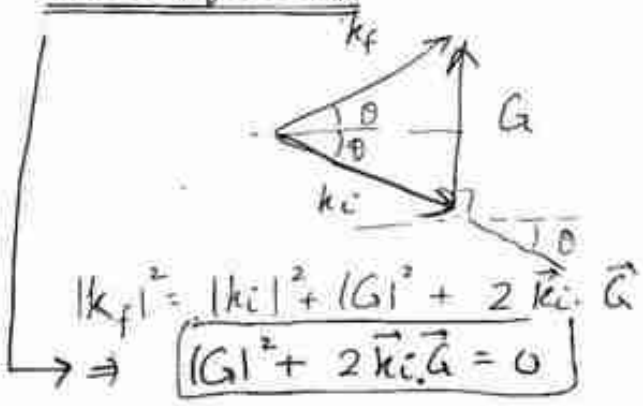
Now, $2\theta + \phi = \pi$
 $\theta = \left(\frac{\pi - \phi}{2}\right)$

Also, $2d \sin \theta = n\lambda$ for interference constructively

Now we also also, for diffraction, $|\vec{k}_f| = |\vec{k}_i|$

Also $\vec{k}_f = \vec{k}_i + \vec{G}$ where $G = \left(\frac{2\pi}{d}\right)$

Laue Equations



and direction is \perp to plane of diffraction

Its called reciprocal Lattice vectors.

$$\Rightarrow \left(\frac{2\pi}{d}\right)^2 + 2 \cdot \frac{2\pi}{\lambda} \cdot \frac{2\pi}{d} \cdot \cos(90^\circ + \theta) = 0$$

$$\Rightarrow \frac{2\pi}{d} + 2 \cdot \frac{2\pi}{\lambda} \cdot \sin \theta = 0$$

$$\Rightarrow \boxed{\lambda = 2d \sin \theta}$$

Also note $\left(\frac{G}{k}\right) = \frac{2\pi}{d} \cdot \frac{\lambda}{2\pi} = \left(\frac{\lambda}{d}\right) = \begin{matrix} 2 \sin \theta \\ \text{(for 1st order} \\ \text{interference)} \end{matrix}$

Tools of Crystal structure determination

Optical / Conventional Microscopy is not sufficient as their resolving power is quite low. Remember that

Resolving Power $\propto \frac{1}{\lambda}$ $\lambda \approx 4000 - 7800 \text{ \AA}$

For e^- scattering, λ is quite low \Rightarrow resolving power is high

There are two types of e^- microscopy based on scattering:

- ① Transmission e^- microscopy
- ② Scanning e^- microscopy

Remember that d is of the order of λ i.e. the structure to be determined should be of order of λ used. if more $\lambda \Rightarrow$ low resolving power, structure can't be determined.

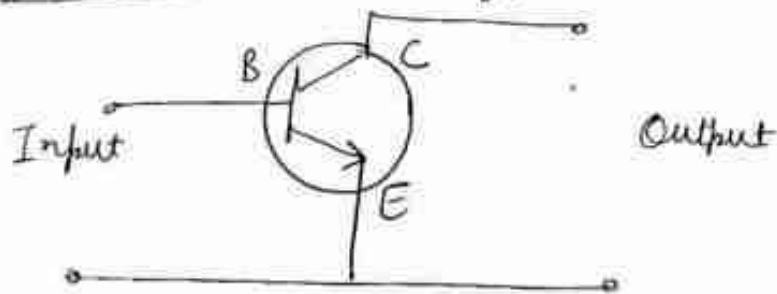
Properties of solids

- ① Magnetic Properties
- Ferro
 - para Magnetism
 - Dia
 - permeability $\mu > \mu_0$

Basic BJT Amplifier Configurations

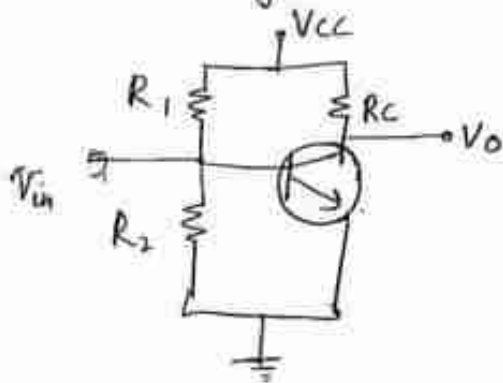
(2)

(1) Common Emitter Configuration

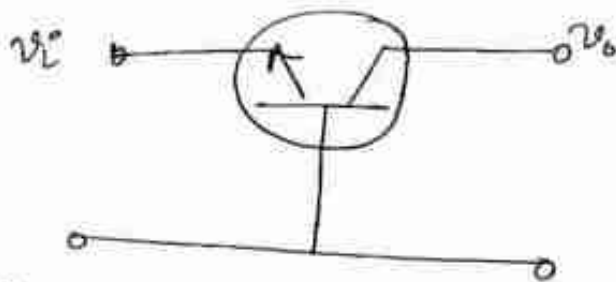


Emitter terminal is common to both input & output signals. Adv

- (1) Medium input impedance
- (2) Medium output impedance
- (3) high voltage gain
- (4) high current gain

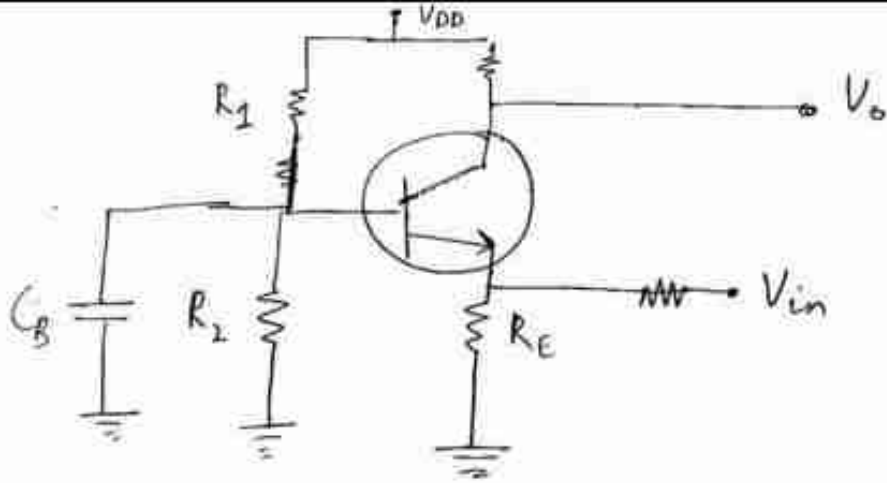


(2) Common Base Configuration

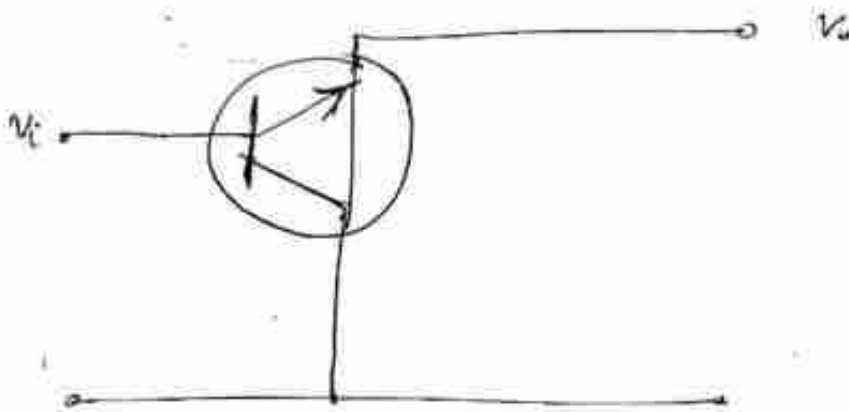


Adv

- (1) Used freq for RF applications
- (2) low input resistance
- (3) high output impedance
- (4) unity or less current gain
- (5) high voltage gain



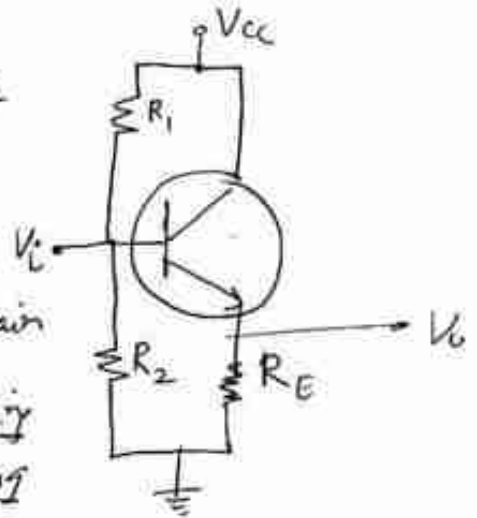
③ Common Collector Configuration



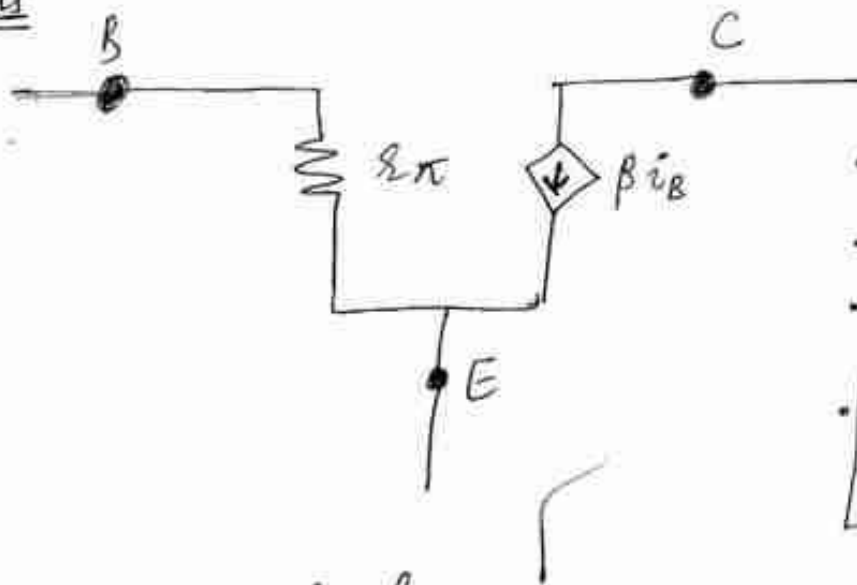
Also called emitter follower because the input signal applied at the base is "followed" quite closely at the emitter with a voltage gain close to unity. It has

- ① high input impedance
- ② low output impedance
- ③ high current gain
- ④ unity or less voltage gain

Circuit is used as buffer, lowering impedance or for feeding or driving long cables or low impedance loads.



Analysis



(3)

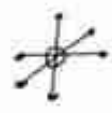
- $I_E = I_C + I_B$
- $V_{BE} = 0.7V$
- $\beta = \left(\frac{I_C}{I_B}\right)$ $\alpha = \left(\frac{I_C}{I_E}\right)$
- $r_{\pi} = \left(\frac{0.025}{I_B}\right)$

- Small-signal equivalent model of BJT
- First, find Q point via D.C. Analysis
- Then replace DC by ground, BJT by small signal model and find out gain, R_{in} , R_{out} .

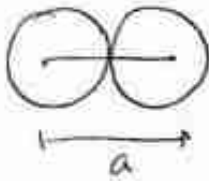
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③ Cubic Crystals

① Simple Cubic

no. of nearest neighbours for an atom
 \swarrow
 * Coordination no. = 6 

* Packing Fraction = $\frac{\text{Volume of Atoms within unit cell}}{\text{Volume of Unit Cell}}$
 [Polonium]



$$a = 2r$$

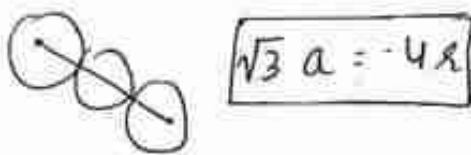
No. of atoms in 1 unit cell = $\frac{1}{8} \times 8$
 = $\boxed{1}$

$$\Rightarrow P.F. = \frac{\frac{4}{3} \pi r^3 \times 1}{a^3}$$

$$= \frac{4}{3} \pi \left(\frac{1}{8}\right) = \boxed{0.52}$$

② Body Centred Cubic

[Na] * Coordination number = 8
 [K] * Packing Fraction



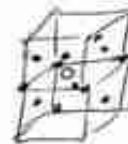
$$\sqrt{3} a = 4r$$

$$P.F. = \frac{\boxed{2} \times \frac{4}{3} \pi r^3}{a^3}$$

$$= \frac{8 \pi \frac{3\sqrt{3}}{64}}{3} = \boxed{0.68}$$

③ Face Centred Cubic

[Cu] * Coordination number = 12
 [Ag] * Packing Fraction
 [Au]



12 (4+4+4)
 corner ↓ ↓ ↓
 Fc Fc Fc
 by by by

$$\sqrt{2} a = 4r$$

$$P.F. = \frac{\boxed{4} \times \frac{4}{3} \pi r^3}{a^3}$$

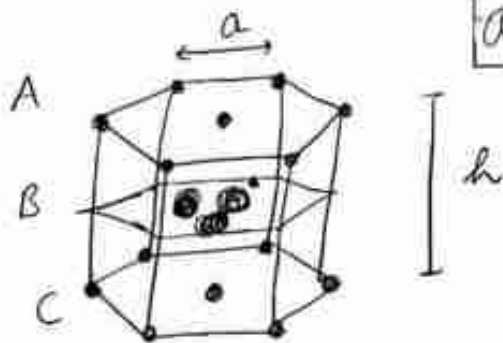
$$= \frac{16 \pi \frac{2\sqrt{2}}{64}}{3} = \boxed{0.74}$$

..... ABC ABC ABC.....

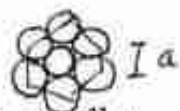
Hexagonal Close Packing

Coordination No. = $6+3$
 $(4) = 12$

(Mg
Zn)



$$a = 2r$$

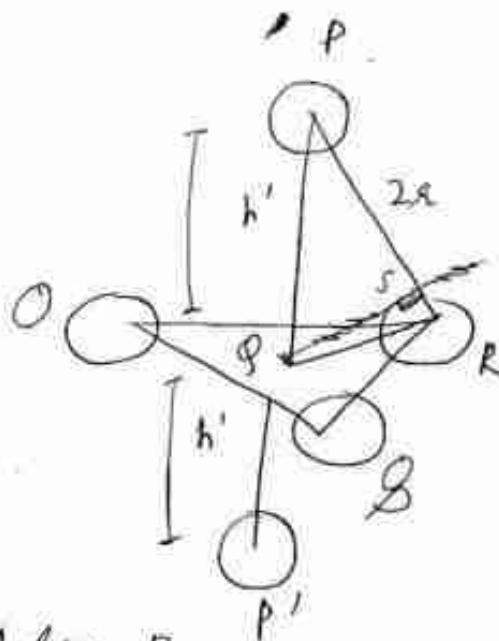


जमा ही सही है !!

(-ABABAB...)

→ Centre of 1st layer A lies exactly over void of 2nd layer B

→ Centre sphere and spheres of 2nd layer B are in touch.



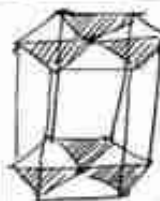
⇒ $\triangle OQR$ is equilateral triangle

$$OR = 2r$$

$$\Rightarrow 2QR \cos 30^\circ = OR$$

$$\Rightarrow QR = \frac{r}{\cos 30^\circ} = \left(\frac{2r}{\sqrt{3}}\right)$$

~~scribbled out text~~



in between shaded regions we have 1 atom each

~~scribbled out text~~

$$\Rightarrow OP = \sqrt{(2r)^2 - QR^2} = \sqrt{4r^2 - \frac{4r^2}{3}}$$

$$= \sqrt{\frac{8r^2}{3}} = \frac{2\sqrt{2}r}{\sqrt{3}}$$

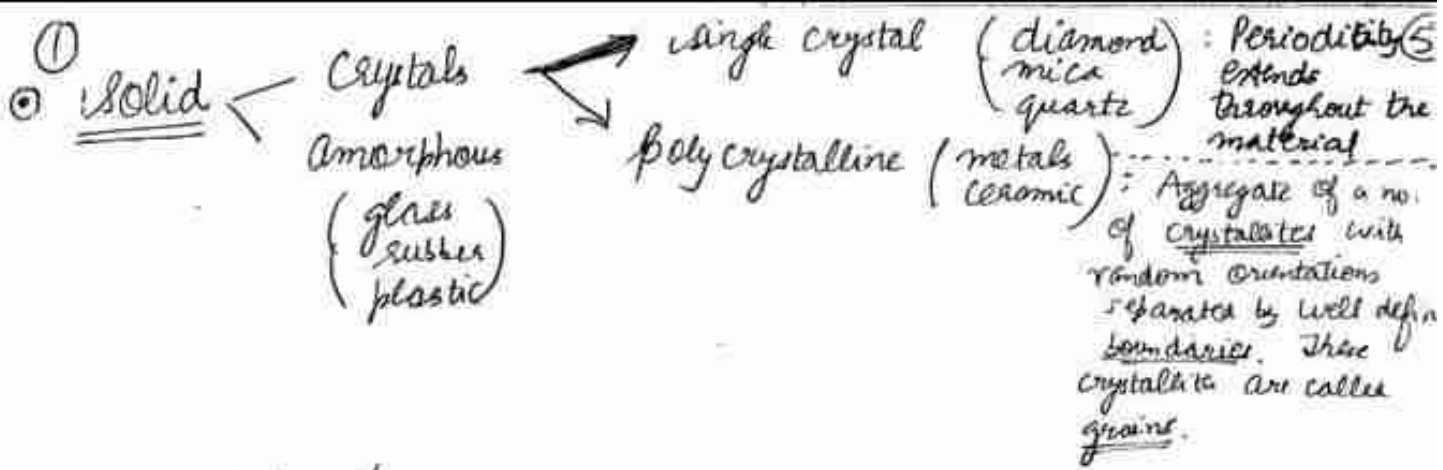
$$\Rightarrow \boxed{h = 2h' = 2OP = \frac{4\sqrt{2}r}{\sqrt{3}}}$$

$$\Rightarrow \text{Volume of Crystal} = 6 \times \frac{\sqrt{3}}{4} a^2 \times h$$

$$= 6 \times \frac{\sqrt{3}}{4} \times 4r^2 \times \frac{4\sqrt{2}r}{\sqrt{3}} = \underline{\underline{24\sqrt{2}r^3}}$$

$$\text{Volume of atoms} = \underline{\underline{6 \times \frac{4}{3} \pi r^3}}$$

$$\Rightarrow \boxed{\text{P.F.} = 74\%}$$



② Point Lattice / Space Lattice : ∞ no. of imaginary points in 3-dimensional space, each having identical surrounding

③ Pattern Unit : Lattice contains small group of points, called pattern unit, which repeats itself in all directions, by means of a translation operator \vec{T}

$$\vec{T} = n_1 \vec{a} + n_2 \vec{b} + n_3 \vec{c}$$

④ $(n_1, n_2, n_3) \in \mathbb{Z} \Rightarrow$ Primitive translation vector
 \Rightarrow Primitive Unit cell

if fraction \Rightarrow non-primitive

⑤ Unit Cell : Building block for construction of complete lattice. Primitive Unit Cell is the smallest volume cell.

⑥ Wigner-Seitz Cell :
 - Join nearby lattice points
 - draw \perp bisectors
 - volume enclosed is Wigner-Seitz Cell

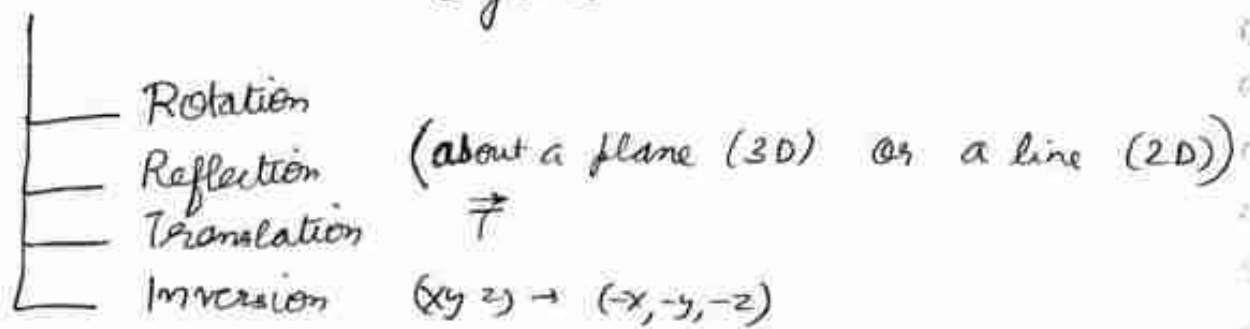
⑦ $\vec{a}, \vec{b}, \vec{c}$: Basis vectors or Crystal Axis

⑧ Component of Crystal Lattice $\left\{ \begin{array}{l} \text{Space lattice (14)} \\ \text{Basis} \end{array} \right.$

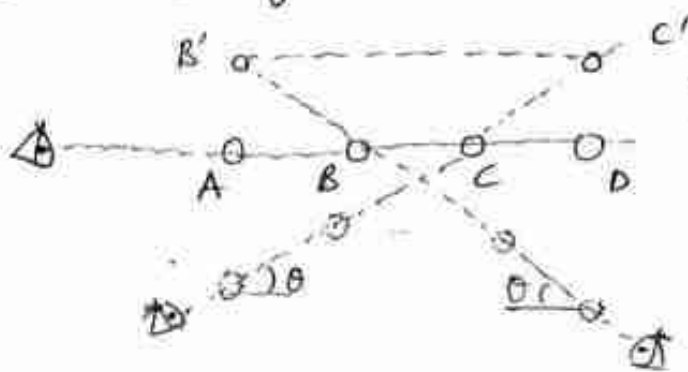
Basis $\left\{ \begin{array}{l} \text{Monoatomic (Coffee)} \\ \text{Complex (Biological)} \end{array} \right.$

(Atom or group of atom that takes the place of space lattice points)

① Symmetry Operations : Transformation that yield the same crystal.



② Multiplicity of Rotation Axis



Let distance between lattice points = T

We must have, $B'C' = m BC$

$$T + 2T \cos \theta = mT$$

$$\cos \theta = \frac{(m-1)}{2}$$

$$-1 \leq \frac{m-1}{2} \leq 1$$

$$-1 < m < 3$$

$$\theta = \frac{2\pi}{1}, \frac{2\pi}{2}, \frac{2\pi}{3}, \frac{2\pi}{4}, \frac{2\pi}{6}$$

Multiplicity = $\left(\frac{2\pi}{\theta}\right)$

$\Rightarrow n = 1, 2, 3, 4, 6$

For a given crystal, n can take values from above 5 values.

③ The symmetry operations lead to different kinds of space lattice :

5 : 2 - dimensions

14 : 3 - dimensions

14 '3-D' lattice are defined by 6 lattice parameters :

Angles : α, β, γ

dimensions : a, b, c

along with

P	(Primitive Cell)
F	(Face Centred Cell)
I	(body Centred Cell)

② Number of effective lattice points belonging to a cell is given by

$$N = N_c + \left(\frac{N_f}{2}\right) + \left(\frac{N_e}{8}\right)$$

c: corner

○ Lattice directions and planes

→ direction given by $[h, k, l]$ (Bracket) where $h, k, l \in \mathbb{Z}$
 negative direction is represented by \bar{h} .

→ angle between 2 directions

$$\cos \theta = \frac{hk' + k'k + ll'}{\sqrt{h^2 + k^2 + l^2} \sqrt{h'^2 + k'^2 + l'^2}}$$

→ plane orientation is given by Miller Indices (h, k, l) (Paranthesis)

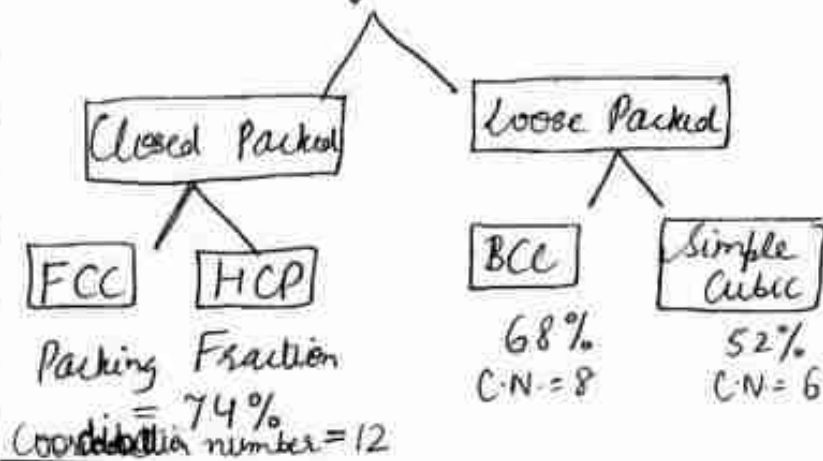
$h, k, l \in \mathbb{Z}$ and are simplified (to integer) reciprocals of intercepts to crystal axes. Intercepts are measured in (a, b, c) unit.

Interplanar spacing $d = \frac{1}{\sqrt{\left(\frac{h}{a}\right)^2 + \left(\frac{k}{b}\right)^2 + \left(\frac{l}{c}\right)^2}}$ (in general)

Interplanar spacing $d = \frac{a}{\sqrt{h^2 + k^2 + l^2}}$

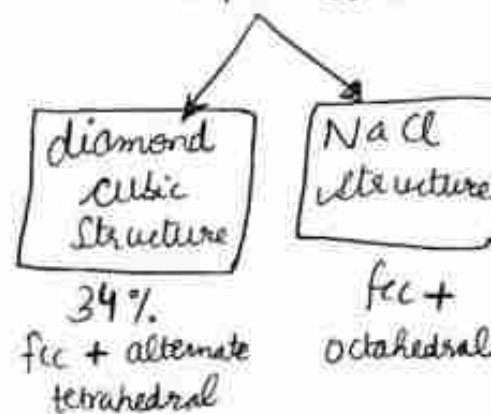
for cubic lattice ($a=b=c$)

○ Simple Crystal Structures



Composite Systems

Examples include



o Diamond Cubic (dc) structure

✓ Packing Efficiency: 34% (if both atoms are same)

✓ Coordination number: 4

✓ Nearest neighbour distance = $\frac{\sqrt{3} a}{4}$

✓ 2 Basis

1st: fcc position (effectively: 4 atoms)

2nd: 4 atoms at alternate tetrahedral sites (effectively: 4)

eg. - Si (Both basis: Si atoms)

- Ge (" " : Ge ")

- Diamond (" " : C ")

- ZnS (Zn: 1st, S: 2nd)

(Zinc Blende)

✓ It can also be seen as interpenetration of 2 fcc lattices.

o NaCl structure

✓ Coordination Number: 6

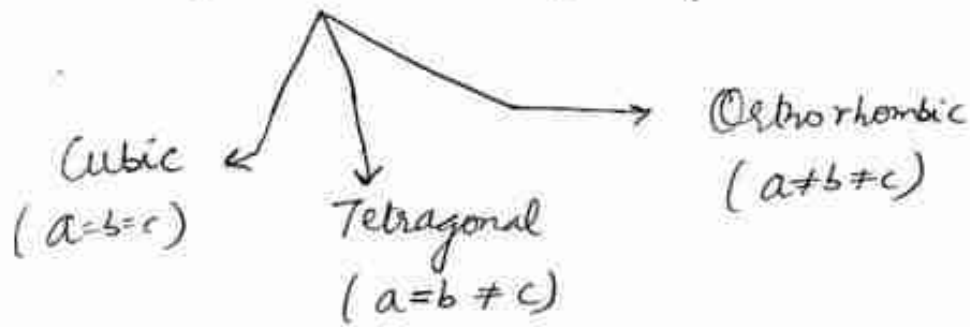
✓ 2 Basis

1st: fcc position (4)

2nd: octahedral voids (4)

✓ can be viewed as interpenetrating fcc lattices

④ All angle $(\alpha, \beta, \gamma) = 90$ (इन्हें working आसान है, यही आरेंगे) ⑦



Q A plane makes intercepts of 1, 2 and 0.5 \AA on the crystallographic axes of an orthorhombic crystal with $a:b:c = 3:2:1$. Determine the Miller Indices of the plane.

Ans Let length of basis axes vectors be 3 \AA , 2 \AA and 1 \AA
 \Rightarrow Intercepts in terms of basis vectors = $\left(\frac{1}{3}\right), \left(\frac{2}{2}\right), \left(\frac{0.5}{1}\right)$
 $= \frac{1}{3}, 1, \frac{1}{2}$

\Rightarrow Reciprocal of intercepts = $(3, 1, 2)$

\Rightarrow Miller Indices of plane are $(3, 1, 2)$

X-ray diffraction

A Theory (scattering + Interference)

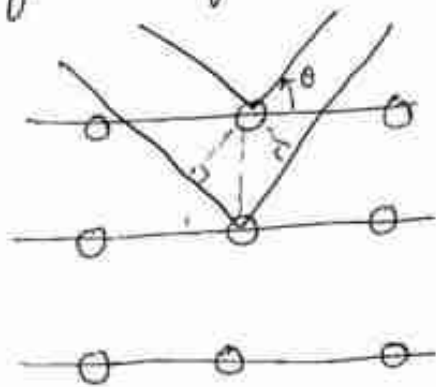
When an atomic electron is irradiated by a beam of monochromatic X-rays, it starts vibrating with frequency equal to that of incident beam. Since an accelerated charge emits radiations, the vibrating electrons present inside a crystal become source of secondary radiations having same frequency as incident X-rays. These secondary

X-rays spread out in all directions. Its called scattering of X-rays. These secondary waves interfere constructive only in certain directions, leading to formation of a diffraction pattern, called LAUE'S PATTERN.

② ANALYSIS

① Bragg's Treatment (Reflection + Interference)

The direction of diffraction lines can be accounted for if X-rays are considered to be reflected from a set of parallel atomic planes followed by constructive interference of resulting reflected rays.



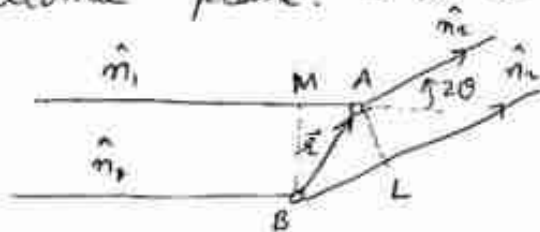
$$2d \sin \theta = n \lambda$$

Bragg's law

Intensity of reflected lines decreases with increase in value of n or θ . n_{\max} found by $\sin \theta = 1$.

② Von Laue's Treatment

Von Laue considered the scattering of X rays by individual atoms in the crystal, followed by their interference. Note that here we are not considering reflection from an atomic plane. \Rightarrow its a more general treatment.



$$\textcircled{5} \quad \Delta = BL - AM = \vec{r} \cdot \hat{n}_2 - \vec{r} \cdot \hat{n}_1 = \vec{r} \cdot (\hat{n}_2 - \hat{n}_1) \quad \textcircled{8}$$

$$= \underline{\underline{\vec{r} \cdot \vec{N}}} \quad (\text{where } \vec{N} = \hat{n}_2 - \hat{n}_1)$$

$$\Rightarrow \Delta\phi = \frac{2\pi}{\lambda} (\vec{r} \cdot \vec{N})$$

In a 3-d axes, \vec{r} may coincide with any of the 3 crystallographic axis, \vec{a} , \vec{b} and \vec{c} . \therefore for occurrence of diffraction maxima,

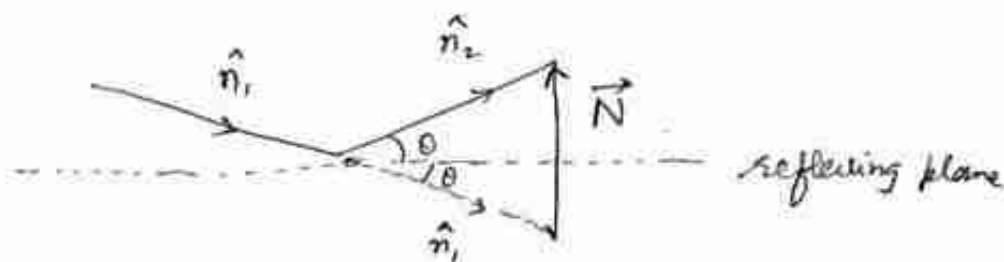
$$\frac{2\pi}{\lambda} (\vec{a} \cdot \vec{N}) = 2\pi h' = 2\pi n h$$

$$\frac{2\pi}{\lambda} (\vec{b} \cdot \vec{N}) = 2\pi k' = 2\pi n k$$

$$\frac{2\pi}{\lambda} (\vec{c} \cdot \vec{N}) = 2\pi l' = 2\pi n l$$

where 'h', 'k', 'l' represent 3 integers, having HCF as n.

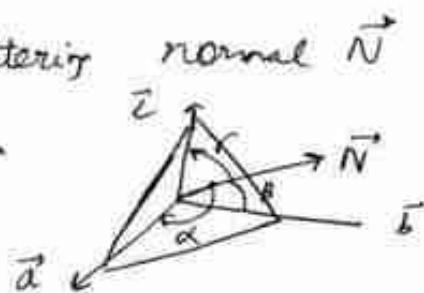
Note that (h, k, l) represent the miller indices of a plane which happens to be a reflecting plane. (proof on next page) whose normal is \vec{N} .



$$|\vec{N}| = 2 \sin \theta$$

Let α, β, γ be angles between scattering normal \vec{N} and crystallographic axis \vec{a}, \vec{b} and \vec{c} .

$$\Rightarrow \vec{a} \cdot \vec{N} = 2a \sin \theta \cos \alpha$$



Hence we can write

$$\left. \begin{aligned} \vec{a} \cdot \vec{N} &= 2a \sin\theta \cos\alpha = nk\lambda \\ \vec{b} \cdot \vec{N} &= 2b \sin\theta \cos\beta = nk\lambda \\ \vec{c} \cdot \vec{N} &= 2c \sin\theta \cos\gamma = nk\lambda \end{aligned} \right\} \text{Laue's equations}$$

1) We know interplanar spacing, for plane (h, k, l) is given by

$$d = \frac{a}{h} \cos\alpha = \frac{b}{k} \cos\beta = \frac{c}{l} \cos\gamma$$

Hence, we get: $\boxed{2d \sin\theta = n\lambda}$

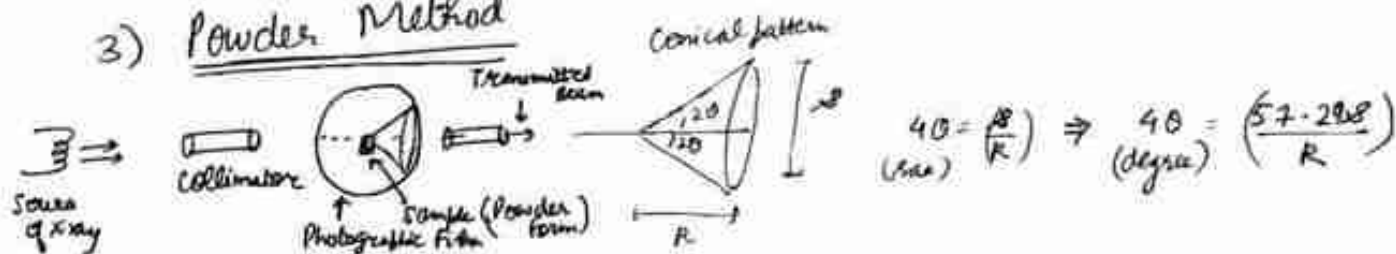
2) For fixed θ , directional cosines $\cos\alpha$, $\cos\beta$, $\cos\gamma$ of scattering normal are proportional to $\left(\frac{h}{a}\right)$, $\left(\frac{k}{b}\right)$ and $\left(\frac{l}{c}\right)$

Also, we know, directional cosines of normal to any arbitrary plane (h, k, l) are proportional to $\frac{h}{a}, \frac{k}{b}, \frac{l}{c}$

Hence, scattering normal \vec{N} is same as normal to the plane (h, k, l) i.e. the arbitrary plane (h, k, l) happens to be reflecting plane.

① EXPERIMENTAL METHODS

- 1) Laue Method
- 2) Rotating Crystal Method
- 3) Powder Method



D) RECIPROCAL LATTICE

(9)

6) Diffraction of x-rays occur from various sets of parallel planes having different orientations (slopes) and different interplanar spacings. Therefore, Ewald developed Reciprocal Lattice i.e.

- 1) Each Point in reciprocal lattice corresponds to particular set of parallel planes of the direct lattice.
- 2) Distance of reciprocal lattice point from an arbitrarily fixed origin, is inversely proportional to the interplanar spacing of corresponding parallel planes of the direct lattice.
- 3) Volume of unit cell of reciprocal lattice is inversely proportional to volume of corresponding unit cell of direct lattice.

Reciprocal lattice vector

a^* : magnitude = reciprocal of interplanar spacing d_{hkl}

direction = normal to (hkl) plane

$$a^* = \frac{1}{d_{hkl}} \hat{n}$$

Note that a vector drawn from the origin to any point in the reciprocal lattice is a reciprocal lattice vector.

$$a^* = 2\pi \left[\frac{\vec{b} \times \vec{c}}{\vec{a} \cdot (\vec{b} \times \vec{c})} \right]$$

$$b^* = 2\pi \left[\frac{\vec{c} \times \vec{a}}{\vec{a} \cdot (\vec{b} \times \vec{c})} \right]$$

$$c^* = 2\pi \left[\frac{\vec{a} \times \vec{b}}{\vec{a} \cdot (\vec{b} \times \vec{c})} \right]$$

a, b, c : Translation vector of direct lattice
 a^*, b^*, c^* : of reciprocal lattice

∴ Hence, every crystal is associated with 2 types of lattice: direct lattice and reciprocal lattice.

$$\text{Also, } \vec{a}^* \cdot (\vec{b}^* \times \vec{c}^*) = \frac{1}{\vec{a} \cdot (\vec{b} \times \vec{c})}$$

$$T = n_1 \vec{a} + n_2 \vec{b} + n_3 \vec{c}$$

$$\underline{\underline{G = h \vec{a}^* + k \vec{b}^* + l \vec{c}^*}} \quad \text{reciprocal lattice vector}$$

$$e^{i(G \cdot T)} = e^{i 2\pi [n_1 h + n_2 k + n_3 l]} = 1$$

Simple Cubic

$$\begin{aligned} \vec{a} &= a \hat{i} & \vec{a}^* &= \left(\frac{2\pi}{a}\right) \hat{i} & , & \vec{b}^* &= \left(\frac{2\pi}{a}\right) \hat{j} \\ \vec{b} &= a \hat{j} & \vec{c}^* &= \left(\frac{2\pi}{a}\right) \hat{k} \\ \vec{c} &= a \hat{k} \end{aligned}$$

Hence reciprocal lattice is also simple cubic.

BCC lattice

$$\vec{a} = \frac{a}{2} (\hat{i} + \hat{j} - \hat{k})$$

$$\vec{b} = \frac{a}{2} (-\hat{i} + \hat{j} + \hat{k})$$

$$\vec{c} = \frac{a}{2} (\hat{i} - \hat{j} + \hat{k})$$

$$\vec{a}^* = \frac{2\pi}{a} (\hat{i} + \hat{j})$$

$$\vec{b}^* = \frac{2\pi}{a} (\hat{j} + \hat{k})$$

$$\vec{c}^* = \frac{2\pi}{a} (\hat{k} + \hat{i})$$

negative

(रुक पीछे वाले में जाइ बड़)

missing

Primitive translation vectors of FCC lattice

(केवल पहले की working दिखाओ वानी बोले cyclically आ जाएंगे)

FCC lattice

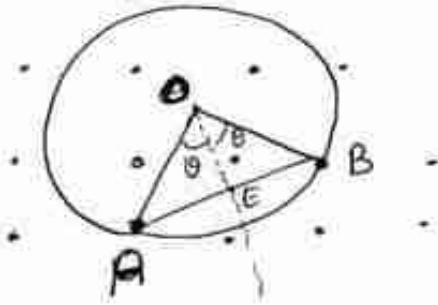
$$\vec{a} = \frac{a}{2} (\hat{i} + \hat{j})$$

$$\vec{b} = \frac{a}{2} (\hat{j} + \hat{k})$$

$$\vec{c} = \frac{a}{2} (\hat{k} + \hat{i})$$

$$\left. \begin{aligned} \vec{a}^* &= \frac{2\pi}{a} (\hat{i} + \hat{j} - \hat{k}) \\ \vec{b}^* &= \frac{2\pi}{a} (\hat{j} + \hat{k} - \hat{i}) \\ \vec{c}^* &= \frac{2\pi}{a} (\hat{k} + \hat{i} - \hat{j}) \end{aligned} \right\} \text{Primitive Translation vectors of BCC lattice}$$

7) Bragg's law in Reciprocal Lattice



Ewald construction

In reciprocal lattice, take any point O (not necessarily a lattice point)

draw \vec{OA} of length $(\frac{1}{\lambda})$

in direction of incident X-ray beam s.t. it terminates on a lattice point A

Draw circle from O with OA radius and define coordinate system with A at origin

If B intersects the circle, whose coordinates are (h', k', l')

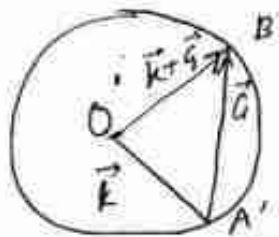
$\Rightarrow |\vec{AB}| = \left(\frac{n}{d_{hkl}}\right)$: reciprocal lattice vector $= (nh, nk, nl)$

$AB = 2AE = 2OA \sin \theta = \frac{2 \sin \theta}{\lambda}$

$\Rightarrow \frac{n}{d_{hkl}} = \frac{2 \sin \theta}{\lambda}$

$\Rightarrow \boxed{n\lambda = 2d_{hkl} \sin \theta}$

2nd form



Magnified Ewald Construction

$A'O' = \left(\frac{2\pi}{\lambda}\right)$ write $A'O' = \vec{k}$

$A'B'$: reciprocal lattice vector \vec{G}

$|O'A'| = |O'B'| \Rightarrow (\vec{k} + \vec{G})^2 = k^2 \Rightarrow \boxed{G^2 + 2\vec{k} \cdot \vec{G} = 0}$

The 2nd form is used to Brillouin Zones.

(E) Brillouin Zones

From Ewald Construction, we know all the \vec{k} values for which reciprocal lattice points intersect the Ewald sphere are Bragg reflected

A Brillouin Zone is locus of all these k -values in the reciprocal lattice which are Bragg reflected

Simple square lattice (not cubic)

$$\vec{a} = a \hat{i}$$

$$\vec{b} = a \hat{j}$$

$$\vec{a}^* = \left(\frac{2\pi}{a}\right) \hat{i}$$

$$\vec{b}^* = \left(\frac{2\pi}{a}\right) \hat{j}$$

$$\vec{G} = \frac{2\pi}{a} (h \hat{i} + k \hat{j})$$

using 2nd form of Bragg's equation,

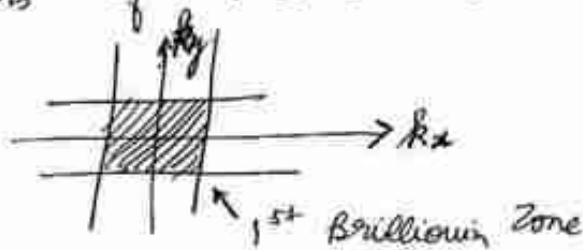
$$2 \vec{k} \cdot \vec{G} + G^2 = 0$$

$$h k_x + k k_y = -\frac{\pi}{a} (h^2 + k^2)$$

Consider all possible combinations of (h, k) , we get

$$h = \pm 1, k = 0 \Rightarrow k_x = \pm \left(\frac{\pi}{a}\right), k_y \in \mathbb{R}$$

$$h = 0, k = \pm 1 \Rightarrow k_y = \pm \left(\frac{\pi}{a}\right), k_x \in \mathbb{R}$$



Using other values of (h, k) obtain other zones

Boundaries of Brillouin zones represent loci of k -values that are Bragg reflected \Rightarrow hence, they are reflecting planes:

1st zone : 1st order reflection
 2nd zone : 2nd order reflection

$$(h, k) = (\pm 1, \pm 1)$$

⑧ BCC

$$\vec{a}^* = \left(\frac{2\pi}{a}\right) (\hat{i} + \hat{j})$$

$$\vec{b}^* = \left(\frac{2\pi}{a}\right) (\hat{j} + \hat{k})$$

$$\vec{c}^* = \left(\frac{2\pi}{a}\right) (\hat{k} + \hat{i})$$

(Remember we are taking reciprocal lattice here)

(9c)

$$\vec{G} = \frac{2\pi}{a} \left[(h+l)\hat{i} + (k+k)\hat{j} + (k+l)\hat{k} \right]$$

Using different combinations like $(\pm 1, 0, 0)$, $(0, \pm 1, 0)$, $(0, 0, \pm 1)$
we get 12 vectors viz. $\frac{2\pi}{a} (\pm \hat{i} \pm \hat{j})$, $\frac{2\pi}{a} (\pm \hat{j} \pm \hat{k})$, $\frac{2\pi}{a} (\pm \hat{k} \pm \hat{i})$

⇒ Rhombic Dodecahedron
(12 faced)

FCC

$$\vec{a}^* = \frac{2\pi}{a} (\hat{i} + \hat{j} - \hat{k})$$

$$\vec{b}^* = \frac{2\pi}{a} (-\hat{i} + \hat{j} - \hat{k})$$

$$\vec{c}^* = \frac{2\pi}{a} (\hat{i} - \hat{j} + \hat{k})$$

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$$\vec{G} = \frac{2\pi}{a} \left[(h-k+l)\hat{i} + (h+k-l)\hat{j} + (-h+k+l)\hat{k} \right]$$

We get Truncated Octahedron

⑨ Allowed h, k, l values for reflections

Simple cubic : all possible values of h, k, l

$$h^2 + k^2 + l^2 :- 1 : 2 : 3 : 4 :$$

BCC : even values of $h+k+l$

$$h^2 + k^2 + l^2 :- 2 : 4 : 6 : 8$$

$(2,0,0)$ $(3,0,0)$ $(0,2,0)$

FCC : all odd or all even values of h, k, l

$$h^2 + k^2 + l^2 :- 3 : 4 : 8 : 11 : \dots$$

$(1,1,1)$ $(2,0,0)$ $(2,2,0)$ $(3,1,1)$

Remember ① $d_{hkl} = \frac{a}{\sqrt{h^2 + k^2 + l^2}}$ for cubic crystals
 a : lattice parameter

② $a^3 = \left(\frac{n' M}{N \rho} \right)$

$\left(\rho = \frac{n' \left(\frac{M}{N} \right)}{a^3} \right)$

n' : effective atoms in a cubic crystal (FCC: 4)

M : Molecular weight

N : Avogadro

ρ : density of crystal

$\left(\frac{M}{N} \right)$: mass of 1 atom

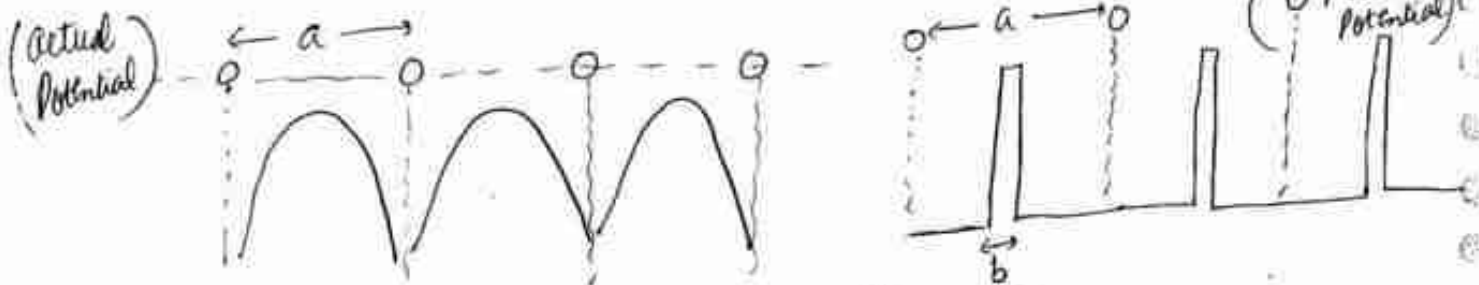
Bond Theory of Solids

• If we assume free e^- i.e. no bound of potential, then

$\psi = e^{ikx}$

$E = \left(\frac{\hbar^2 k^2}{2m} \right), \quad \underline{KE}(-\infty, \infty)$

But in order to understand Band structure of solids, we have to consider a periodically varying potential



- < Read Band structure from H.C. Verma >
- < Qualitative formation of Band gaps & >
- < Classification of solids into conductors, insulators >
- < and semiconductors. >

The movement of e^- in a region of periodically varying potential, with the periodicity of the lattice, caused by ion-cores situated at the lattice points, which results in diffraction of electrons by the lattice $\left(\lambda = \frac{h}{p} \right) \approx a$.

The electron undergoes Bragg's reflection.

⑨ Schrödinger Solution of Kronig Penny Potential ⑨d

Eigenfunction of a free electron travelling wave

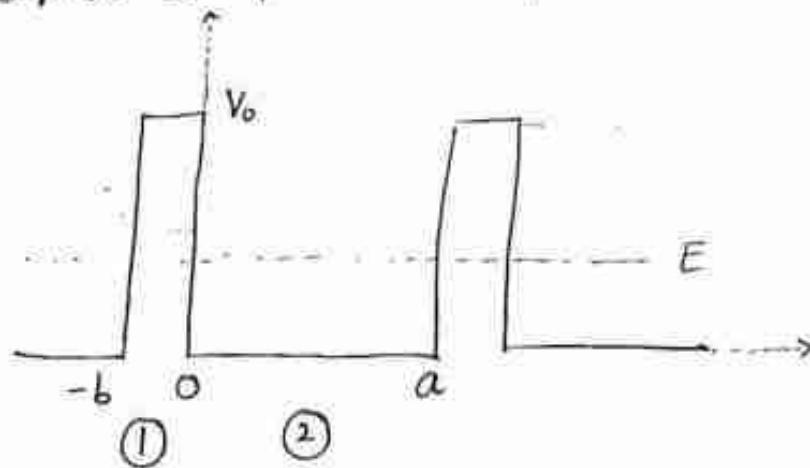
$$\psi(x) = e^{\pm ikx} \quad \text{where } k = \frac{2\pi}{\lambda} \text{ is propagation const.}$$

For a periodic potential with periodicity a ,

$$\psi(x) = U_k(x) e^{\pm ikx} \quad \text{where } U_k(x+a) = U_k(x) = U_k(x+na)$$

This is called Bloch Theorem.

$U_k(x)$ depends on particular potential and value of k .



Writing eqn.

$$\frac{d^2\psi_1}{dx^2} + \frac{2m}{\hbar^2} (E - V_0) \psi_1 = 0 \quad -b < x < 0$$

$$\frac{d^2\psi_2}{dx^2} + \frac{2m}{\hbar^2} E \psi_2 = 0 \quad 0 < x < a$$

Using $\alpha^2 = \frac{2mE}{\hbar^2}$, $\beta^2 = \frac{2m(V_0 - E)}{\hbar^2}$, $\psi_1 = e^{ikx} u_1(x)$
 $\psi_2 = e^{ikx} u_2(x)$

$$\left[\begin{array}{l} \alpha \Leftrightarrow k \\ \beta \Leftrightarrow \gamma \end{array} \right]$$

Hence, we get

$$\left(\frac{d^2 u_2}{dx^2} \right) + 2ik \left(\frac{du_2}{dx} \right) + (\alpha^2 - k^2) u_2 = 0 \quad 0 < x < a$$

$$\left(\frac{d^2 u_1}{dx^2} \right) + 2ik \left(\frac{du_1}{dx} \right) - (\beta^2 + k^2) u_1 = 0 \quad -b < x < 0$$

For simplification, $V_0 \rightarrow \infty$
 $b \rightarrow 0$

$V_0 b$: finite, called Barrier strength

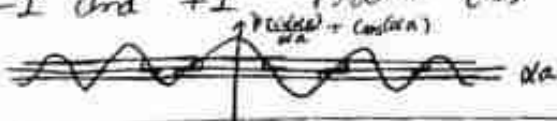
Hence, upon using Continuity & differential continuity, we get
 (after further calculations)

$$P = \frac{mV_0 b}{\hbar^2} \left(\frac{mV_0 b}{\hbar^2 \alpha} \right) \sin(\alpha a) + \cos(\alpha a) = \cos(ka)$$

$$\Rightarrow P \left(\frac{\sin(\alpha a)}{\alpha a} \right) + \cos(\alpha a) = \cos(ka)$$

(Can also use $P = \frac{mV_0 b}{\hbar^2}$)

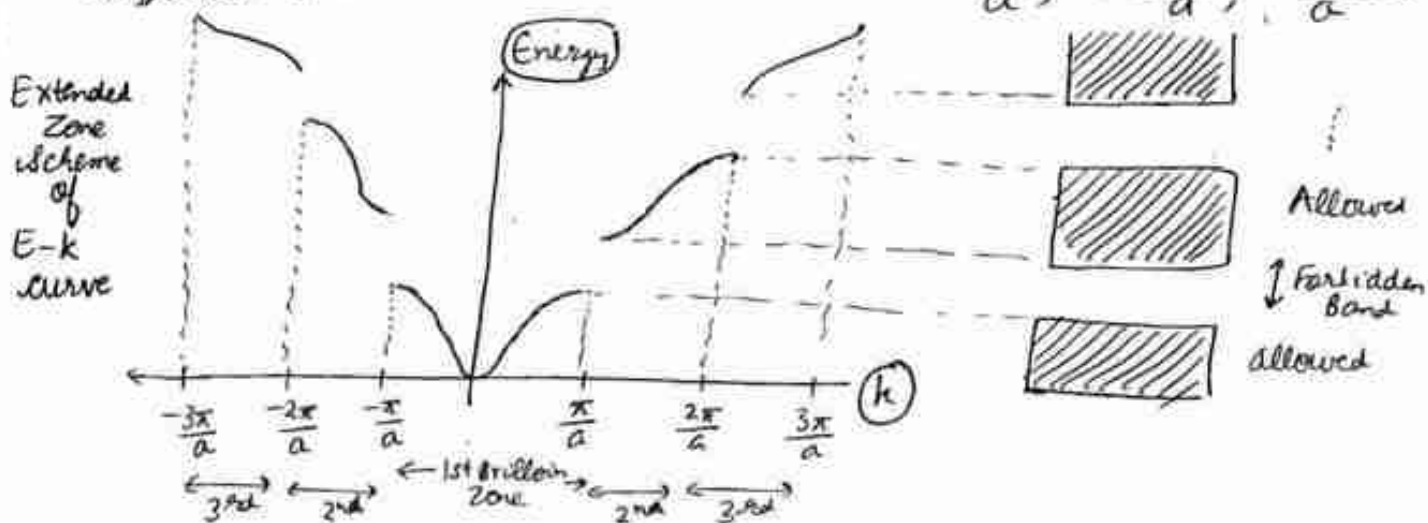
Only those values of (αa) are allowed for which L.H.S. lies between -1 and $+1$. From this the following conclusions are drawn:



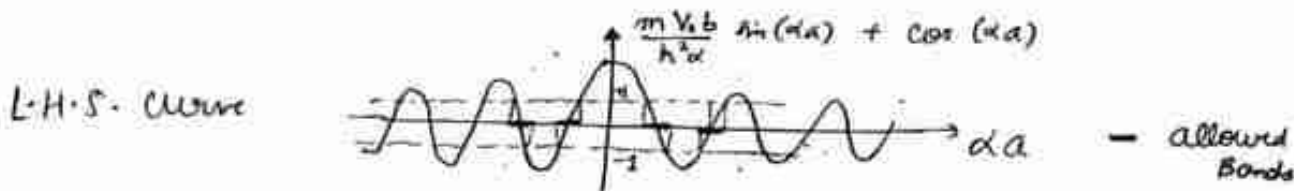
- 1) Energy spectrum consists of alternate regions of allowed energy bands and forbidden energy bands.
- 2) Width of bands increases with α (or energy).

$\cos ka = \pm 1$ (for discontinuity) $\Rightarrow ka = \pm n\pi$

\Rightarrow Bands are discontinuous at $k = \pm \frac{\pi}{a}, \pm \frac{2\pi}{a}, \pm \frac{3\pi}{a} \dots$

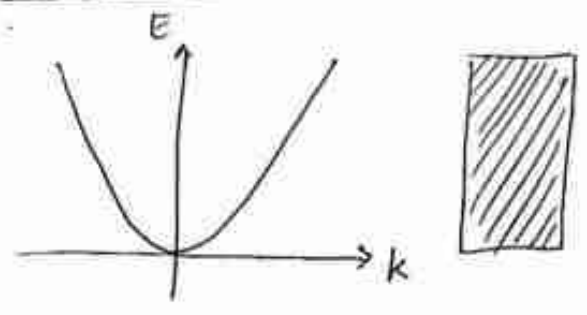


Note that these values of k define the boundaries of the Brillouin zones. The Bragg reflections at these boundaries result in discontinuities in $E-k$ curve.



($E=0$ is allowed \tilde{E} , in extended zone scheme)

The previous E-k curve is for intermediate Bound
For loosely bound, $V_0 b \rightarrow 0$



loosely bound case

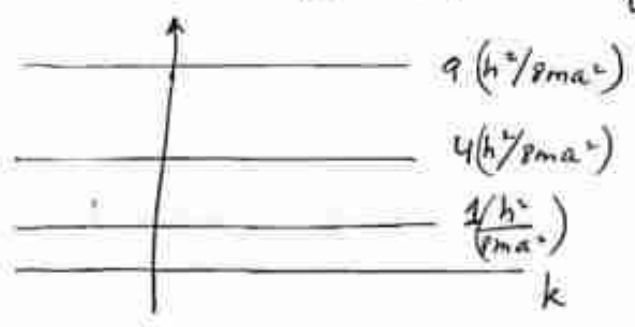
For tight bound, $V_0 b \rightarrow \infty$

$$\Rightarrow \sin \alpha a = 0$$

$$\alpha a = n\pi$$

$$\Rightarrow E = \left(\frac{n^2 h^2}{8ma^2} \right) \quad (n=1, 2, 3, \dots)$$

i.e. independent of k



similar to 1-D box

Explanation by Brillouin Zones

We know for diffraction $2\vec{k} \cdot \vec{G} + G^2 = 0$

For one-dimension case,

Primitive lattice vector $\vec{a}_1 = a \hat{x}$

$$\Rightarrow \text{Reciprocal lattice vector } \vec{a}_1^* = \left(\frac{2\pi}{a} \right) \hat{x}$$

$$\Rightarrow \text{Reciprocal lattice vector } \vec{G} = n_x \vec{a}_1^* = \frac{2\pi n_x}{a} \hat{x}$$

Let us write $\vec{k} = k_x \hat{x} + k_y \hat{y} + k_z \hat{z}$

$$\Rightarrow 2\vec{k} \cdot \vec{G} + G^2 = 0 \Rightarrow 2 \cdot \frac{2\pi n_x k_x}{a} + \left(\frac{2\pi n_x}{a} \right)^2 = 0 \Rightarrow k_x = \underline{\underline{\left(\frac{n_x \pi}{a} \right)}}$$

No. of wavefunctions in a Band

For a crystal of length L & no. of primitive cells = N ,

$$\psi(x+L) = \psi(x)$$

$$\Rightarrow e^{ik(x+L)} u_k(x+L) = e^{ikx} u_k(x)$$

$$\Rightarrow e^{ikL} = 1$$

$$\Rightarrow \boxed{k = \left(\frac{2n\pi}{L}\right)} \quad n = \pm 1, \pm 2, \pm 3, \dots$$

$$\Rightarrow k = \pm \left(\frac{2\pi}{L}\right), \pm \left(\frac{4\pi}{L}\right), \pm \left(\frac{6\pi}{L}\right), \dots$$

$$\Rightarrow dk = \left(\frac{2\pi}{L}\right) dn \Rightarrow dn = \left(\frac{L}{2\pi}\right) dk$$

$$\begin{aligned} \Rightarrow \text{Total no. of states} &= \int dn \\ &= \int_{-\frac{\pi}{a}}^{\frac{\pi}{a}} \cdot \frac{L}{2\pi} dk \\ &= \frac{L}{2\pi} \cdot \frac{2\pi}{a} \end{aligned}$$

$$\left(\text{assuming } b \rightarrow 0 \right) \quad N = \frac{L}{a+b} = \left(\frac{L}{a}\right) = N$$

But each state can occupy 2 electrons

\Rightarrow each band has a maximum $2N$ electron states
i.e. can accommodate $2N$ electrons.

Effective Mass

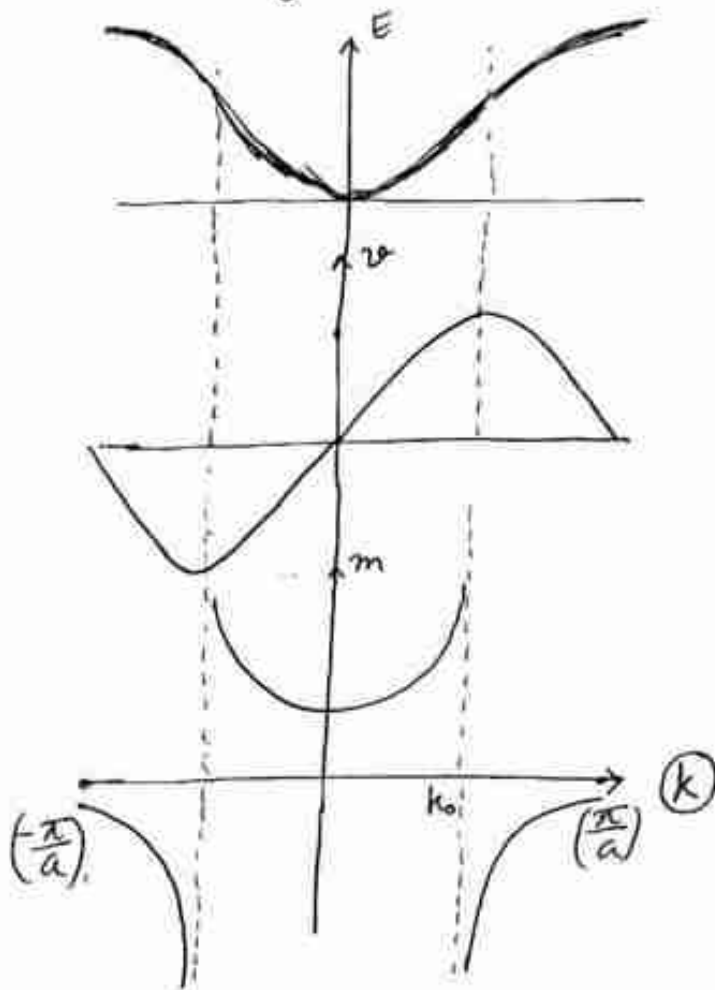
A particle moving with velocity v is equivalent to a wave packet moving with a group velocity v_g

$$v = v_g = \left(\frac{d\omega}{dk}\right)$$

Also $E = \hbar \omega \Rightarrow$

$$v = \frac{1}{\hbar} \left(\frac{dE}{dk} \right)$$

Considering the first Brillouin zone



k_0 : inflexion point of $E(k)$ curve

The mass of an electron in a crystal, in general, appears different from free e- mass, and is referred to as m^* . We find expression for m^* from a semi-classical model.

Acceleration $a = \left(\frac{dv}{dt} \right) = \frac{1}{\hbar} \left(\frac{d^2E}{dk^2} \right) \cdot \left(\frac{dk}{dt} \right)$

Let us consider electron to be placed in an electric field E_0 , i.e. work done by electric field on the electron, at a particular k , will increase the energy by dE

$$dE = e E_0 dx = e E_0 v dt = \frac{e E_0}{\hbar} \left(\frac{dE}{dk} \right) \cdot dt$$

$$\Rightarrow \left(\frac{dE}{dt} \right) = \frac{e E_0}{\hbar} \left(\frac{dE}{dk} \right)$$

$$\Rightarrow \left(\frac{dE}{dk} \right) \left(\frac{dk}{dt} \right) = \frac{e E_0}{\hbar} \left(\frac{dE}{dk} \right) \Rightarrow \left(\frac{dk}{dt} \right) = \frac{e E_0}{\hbar}$$

$$\Rightarrow m^* = \frac{F}{a} = \frac{eE_0}{\frac{1}{\hbar} \left(\frac{d^2E}{dk^2} \right) \left(\frac{dk}{dt} \right)} = \frac{eE_0}{\frac{1}{\hbar} \left(\frac{d^2E}{dk^2} \right) \frac{eE_0}{\hbar}} = \frac{\hbar^2}{\left(\frac{d^2E}{dk^2} \right)}$$

Concept of negative mass

Effective mass, taking negative values can be understood by Bragg's reflection when k is close to $\pm \left(\frac{\pi}{a} \right)$. Due to Bragg's reflection, a force applied in one direction will lead to gain of momentum in the opposite direction, resulting in negative effective mass.

For alkali metals, partially filled band implies conduction taking place mainly through electrons. However in crystals for which energy band is nearly full, except for a few electron vacancies at top of band, these negative charge & negative mass vacancies may be considered as positive charge & positive mass particles called HOLES, which act as \oplus ve charged carriers to produce conduction.

Effective no. of free electrons

[Negative charge, negative mass $\nabla \nabla \nabla$
state of vacancy = hole
equivalent to positron in fermi model]

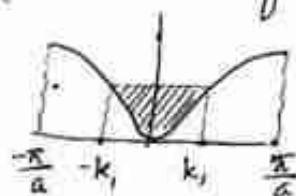
$$\text{let } f_k = \frac{m}{m^*} = \frac{m}{\hbar^2} \left(\frac{d^2E}{dk^2} \right)$$

Measure of extent to which electron behaves as a free electron
 $f_k = 1 \Rightarrow e^-$ behaves totally as free electron

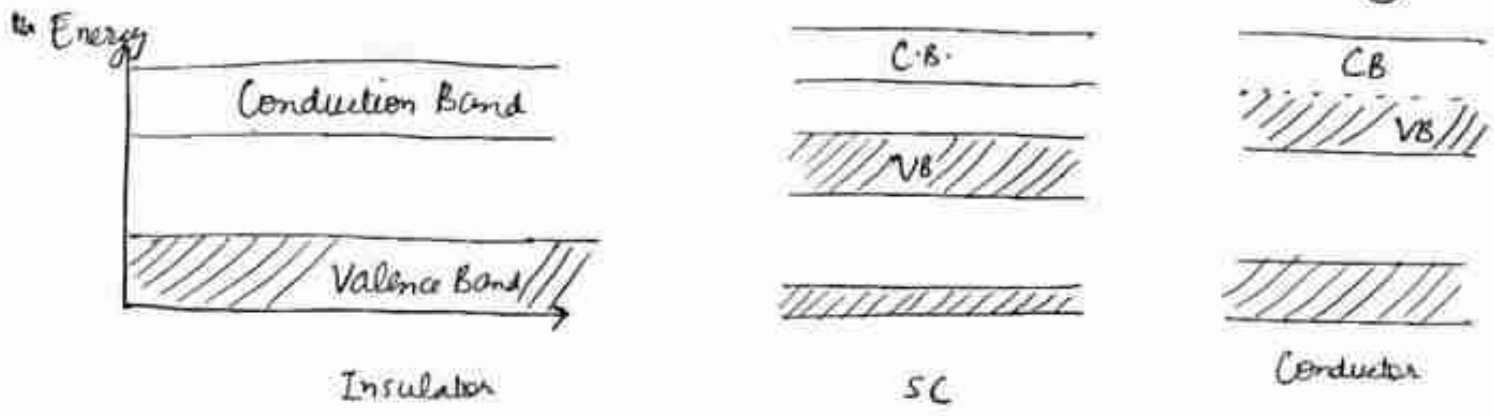
If $f_k < 1 \Rightarrow e^-$ behaves as a heavy particle: less mobility & therefore less conduction.
 let energy band be filled upto $k = k_1$.

We know $dn = \frac{L}{\pi} dk$

$$N_{\text{eff}} = \int_{-k_1}^{k_1} f_k dn = \frac{2mL}{\pi \hbar^2} \int_0^{k_1} \left(\frac{d^2E}{dk^2} \right) dk$$



$$N_{\text{eff}} = \frac{2mL}{\pi \hbar^2} \left(\frac{dE}{dk} \right)_{k=k_1}$$



@ T = 0 K

- For completely filled band, $(\frac{dF}{dk}) = 0 \Rightarrow N_{eff} = 0 \Rightarrow$ insulator
- For maximum N_{eff} , band should be filled upto inflexion point
 ⇒ Partly filled band has metallic character

Magnetism in Solids

Diamagnetism

- Magnetism, in general, in solids is due to
 - spin of electrons
 - orbital motion of electrons
 - spin of nuclei
- Weak effect; in solids which do not contain permanent magnetic moment; due to orbital motion of electrons; directed opposite to applied magnetic field.
- Induced current due to change of electron motion, according to Lenz's law
- Diamagnetism exists in all materials but is usually suppressed due to presence of stronger effects like paramagnetism, ferromagnetism.
- manifested by small & negative value of χ .

Langevin's Classical theory

Let electron revolve around nucleus in a circular orbit with frequency ω_0 (of radius r)

$$\Rightarrow m\omega_0^2 r = \frac{Ze^2}{4\pi\epsilon_0 r^2}$$

$$\Rightarrow \omega_0 = \sqrt{\frac{Ze^2}{4\pi\epsilon_0 m r^3}}$$

Now an external magnetic field \vec{B} is applied.

$$\vec{F}' = e(\vec{v} \times \vec{B}) = e r \omega B$$

$$\Rightarrow \text{Net force} = \vec{F}_{\text{nucleus}} + \vec{F}' = m\omega^2 r$$

$$\Rightarrow m\omega^2 r = \frac{Ze^2}{4\pi\epsilon_0 r^2} - e r \omega B$$

$$- \quad = m\omega_0^2 r - e r \omega B$$

$$\Rightarrow \omega^2 + \left(\frac{eB}{m}\right)\omega - \omega_0^2 = 0$$

$$\Rightarrow \boxed{\omega \approx \omega_0 - \frac{eB}{2m}}$$

~~Langevin's~~ Theorem

(Considering $\omega_0 \gg \frac{eB}{2m}$)

$$\Rightarrow \Delta\gamma = \frac{1}{2\pi} \frac{eB}{2m}$$

Due to change in frequency, current is altered in the current loop

$$\begin{aligned} \text{Additional current in 1 loop} &= e * \text{additional frequency} \\ &= -\left(\frac{e^2 B}{4\pi m}\right) \end{aligned}$$

$$\Rightarrow \text{Magnetic Moment} = -\left(\frac{e^2 B r^2}{4m}\right)$$

• For Z electrons, total induced magnetic moment (13)

$$\mu_T = - \frac{Ze^2 B}{4m} \langle p^2 \rangle \quad \left(\begin{array}{l} Z \text{ \(\hbar\) multiple} \\ \frac{3\hbar^2}{4m} \\ \langle p^2 \rangle \text{ \(\overline{\) average}} \end{array} \right)$$

now note that if field along z -axis, then

$$\begin{aligned} \langle p^2 \rangle &= \langle x^2 \rangle + \langle y^2 \rangle \\ &= \text{mean of squares of } \perp \text{ distances from axis} \\ &\quad \text{of the field} \end{aligned}$$

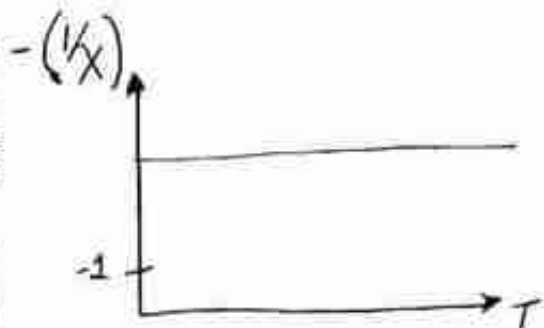
$$\begin{aligned} \text{Also } \langle R^2 \rangle &= \langle x^2 \rangle + \langle y^2 \rangle + \langle z^2 \rangle \\ &= \text{mean square distance of electron from} \\ &\quad \text{nucleus.} \end{aligned}$$

For spherical distⁿ, $\langle x^2 \rangle = \langle y^2 \rangle = \langle z^2 \rangle$
of charge

$$\Rightarrow \mu_T = - \frac{Ze^2 B}{4m} \cdot \frac{2}{3} \langle R^2 \rangle = - \frac{Ze^2 B}{6m} \langle R^2 \rangle$$

For a solid containing N atoms per unit volume.

$$\chi_{\text{dia}} = \frac{M}{H} = \frac{N \mu_T \mu_0}{B} = - \frac{NZe^2 \mu_0}{6m} \langle R^2 \rangle$$



χ_{dia} is independent of temperature

Paramagnetism (O_2 , metals, Unpaired electron atoms)

• In these atoms, that have permanent magnetic dipole moment. In absence of magnetic field, moments are randomly oriented, but when \vec{B}_{ext} is applied, dipoles are oriented \parallel to field.

It leads to magnetization in the direction of field.

- χ is small, positive & temperature dependent.
- Observed paramagnetism in :
 - metals
 - atoms / molecules having odd number of electrons
 - O_2 molecule
 - rare earth & actinide elements

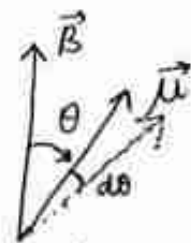
Langmuir's Classical Theory

Consider a paramagnetic gas containing N atoms per unit volume, each having permanent magnetic moment μ .

In absence of magnetic field, they are randomly oriented
 \rightarrow net moment = 0

When \vec{B}_{ext} is applied, dipoles orient themselves in the direction of the field to minimize their energy ($E = -\vec{\mu} \cdot \vec{B}$)
But due to thermal energy, not exactly \parallel , but rather at an angle θ with the direction of field.

$$\rightarrow E = -\vec{\mu} \cdot \vec{B} = -\mu B \cos \theta$$



Now from Maxwell Boltzmann distribution,
no. of dipoles having orientation $\theta \propto e^{\left(\frac{\mu B \cos \theta}{kT}\right)}$

No. of dipoles within solid angle $d\omega$ lying between 2 hollow cones of semiangle θ and $\theta + d\theta$, are

$$dn \propto e^{\left(\frac{\mu B \cos \theta}{kT}\right)} d\omega$$

$$= k e^{\left(\frac{\mu B \cos \theta}{kT}\right)} 2\pi \sin \theta d\theta$$

where k is a constant.

Each one contributes moment $\mu \cos \theta$ to \vec{M} .

$$\Rightarrow M = \frac{\int \mu \cos \theta \, dn}{\int dn} = N \int \mu \cos \theta \, dn$$

$$= \frac{\mu N \int_0^\pi \sin \theta e^{\left(\frac{\mu B \cos \theta}{kT}\right)} \cos \theta \, d\theta}{\int_0^\pi \sin \theta e^{\left(\frac{\mu B \cos \theta}{kT}\right)} \, d\theta}$$

let $\left(\frac{\mu B}{kT}\right) = \lambda$

N: NO. of dipoles per unit volume.

$$\Rightarrow \boxed{M = \mu N f(\lambda)}$$

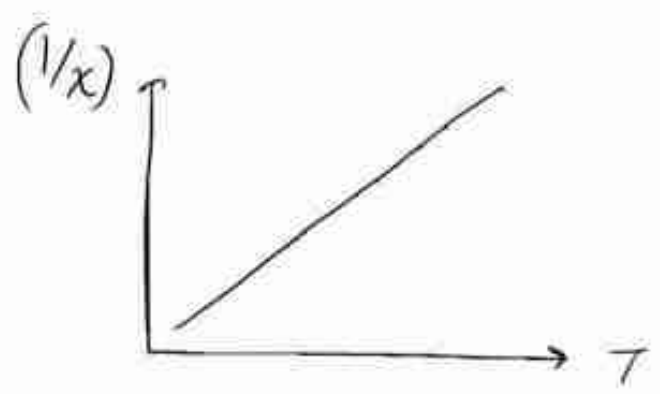
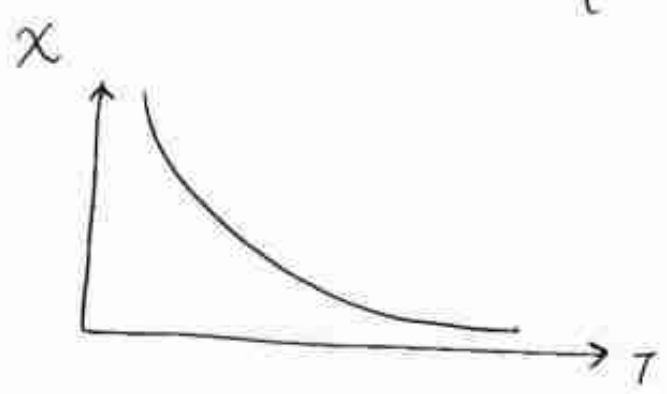
$f(x)$: Langevin function

For small λ , $f(\lambda) \approx \left(\frac{\lambda}{3}\right) = \left(\frac{\mu B}{3kT}\right)$

$$\Rightarrow M = \left(\frac{\mu^2 N B}{3kT}\right)$$

$$\chi_{para} = \frac{\mu_0 M}{B} = \left(\frac{\mu_0 \mu^2 N}{3kT}\right) = \left(\frac{C}{T}\right)$$

C: Curie's const
 $= \left(\frac{\mu_0 N \mu^2}{3k}\right)$



For large λ

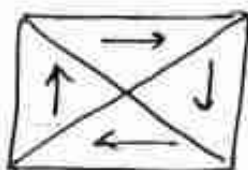
(large $\lambda \Rightarrow$ small $T \Rightarrow$ all dipoles get aligned)
 $\Rightarrow M = \mu N$

$$f(\lambda) \approx 1$$

$$M = \mu N \Rightarrow \text{saturation magnetization}$$

Ferromagnetism (Fe, Ni, Co)

- Also associated with permanent dipole moment, but here magnetic moments of adjacent atoms are aligned in a particular direction even in the absence of applied magnetic field.



This magnetization that exists even in the absence of applied magnetic field is called SPONTANEOUS MAGNETIZATION. It exists below a critical temperature T_c , called CURIE TEMPERATURE.

- Above Curie Temperature, thermal effects offset the spin alignment and ferromagnetic substance becomes paramagnetic.
- χ is large and positive, varies with temperature as well as applied field (hysteresis loop)

Weiss Theory of Ferromagnetism

2 hypothesis

- Ferromagnetic material contains a no. of small regions called domains which are spontaneously magnetized. Magnitude of spontaneous magnetization of specimen as a whole is given by vector sum of magnetic moment of individual domains.

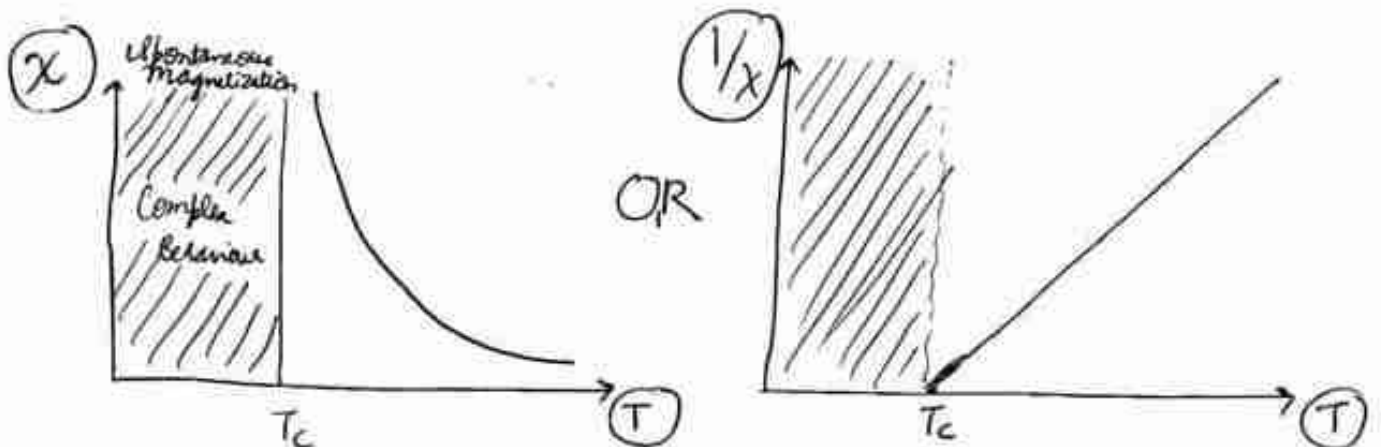
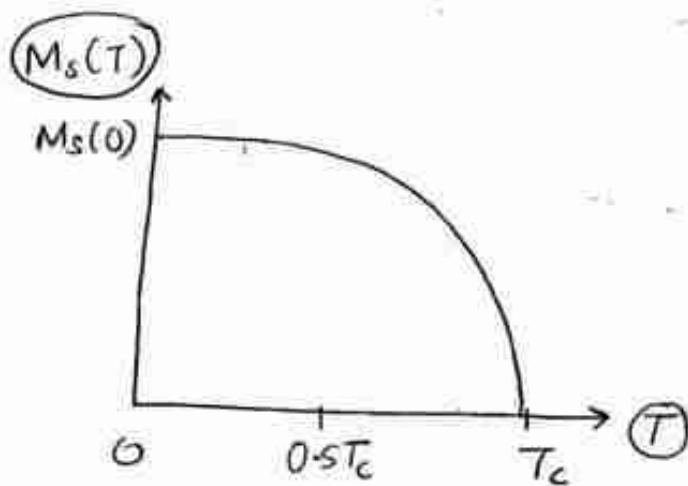
② Spontaneous magnetization of each domain is due to (15)
 presence of an exchange field B_E , which tends to
 produce a parallel alignment of atomic dipoles.
 Field B_E is assumed to be proportional to the magnetization
 M of each domain.

$$B_E = \lambda M \quad : \text{Weiss Field / Exchange Field / Molecular Field}$$

λ : Weiss Field Constant (independent of temperature)

B_E is quite strong as compared to applied field
 ($\approx 10000 \text{ T}$) ($\approx 1 \text{ T}$)

From quantum theory, we can derive 2 curves



$$\chi = \left(\frac{C}{T - T_c} \right) \quad : \text{Curie-Weiss law}$$

Origin of Weiss Field was explained by Heisenberg who proposed the field to be a consequence of quantum mechanical exchange interaction between atoms. Interaction arises due

to Pauli exclusion principle (any change in relative orientation of 2 spins would disturb spatial distⁿ of charge, thus producing interaction between two atoms)

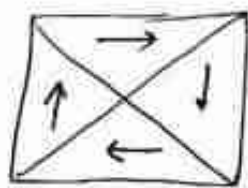
Hence, the exchange interactions between neighbouring dipoles in a ferromagnetic substance generates an internal exchange field B_E , which aligns them in a particular direction.

Domain Structure

According to Neel, domain structure is such that there is minimization of total energy (magnetic field energy + anisotropic energy + domain wall energy) [MAD]

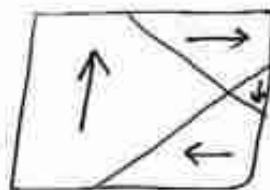
Magnetization produced in ferromagnetic material is due to

- 1) Growth in size of \parallel domains at expense of antiparallel domains w.r.t. applied \vec{B}
- 2) Rotation of direction of magnetization, along field direction



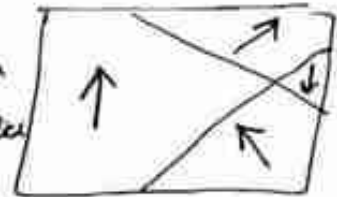
Unmagnetized specimen

\uparrow
 H_{applied}



magnetization by domain growth

\uparrow
 H_{applied}

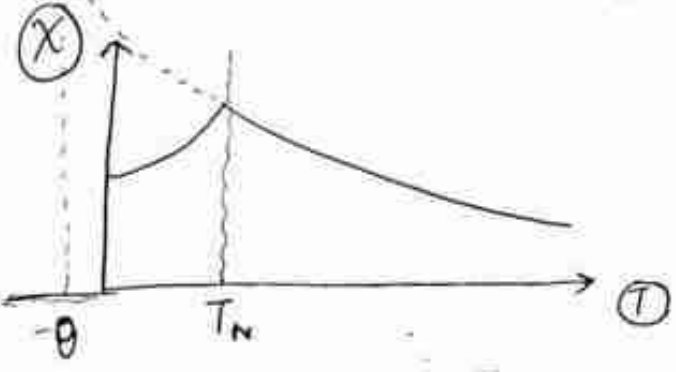


magnetization by domain rotation

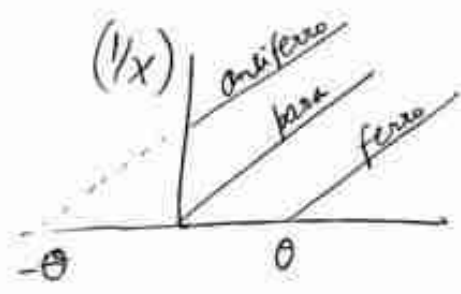
⊙ Apart from them we have

Antiferromagnetism (MnO)

- ⊙ Adjacent Moments are equal & opposite to each other
- ⇒ Complete cancellation of moments.



$$\chi = \left(\frac{C}{T + \theta} \right) \text{ for } T > T_N$$



Ferrimagnetism ($Fe_3O_4 = FeO \cdot Fe_2O_3$) (ferrites)

- ⊙ Identical to Antiferromagnetism except magnetization is not completely cancelled.
- ⊙ Resembles ferromagnetism as
 - both possess spontaneous magnetization
 - both exhibit hysteresis

Superconductivity

- ① An electromagnet made up of a superconductor can function for years together even after removal of supply voltage. However, due to requirement of very low temp., it was not feasible to manufacture such devices.
- ② Initial aim was to have a superconductor with transition temperature equal to or higher than 77k, the liquid N₂ temperature. With discovery of Ceramic Superconductors, ($T_c \approx 90\text{k}$) eg $\text{YBa}_2\text{Cu}_3\text{O}_7$ and Thallium Cuprates ($T_c \approx 125\text{k}$), now the aim is to find superconductor at room temperature.

Misner Effect (Explained by London equations)

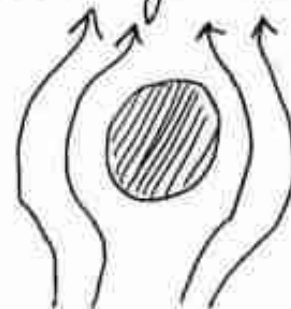
- ① Flux exclusion is independent of order of events. As well as reversible



Cooling



magnetic field



field removed



ρ : resistivity



Cooling



field removed



- ① difference between perfect conductor ($\rho = 0$) & Superconductor ($\rho = 0$)

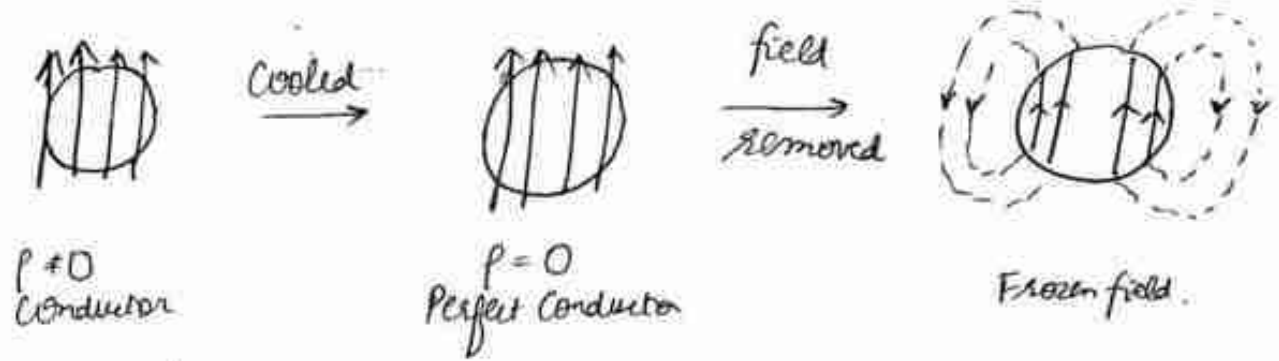
For conductor, $\rho = 0 \Rightarrow$ Ohm's law $E = \rho J = 0$
 i.e. no electric field inside perfect conductor

From Maxwell eqs,

$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

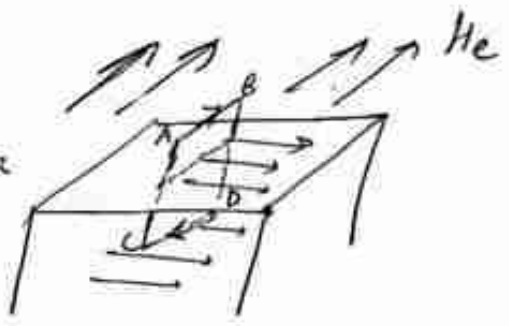
$$\Rightarrow B = \text{const (and not zero)}$$

i.e. When conductor is cooled in the magnetic field until its resistance becomes 0 (becomes perfect conductor), the magnetic field in the material gets frozen in & cannot change irrespective of applied field.



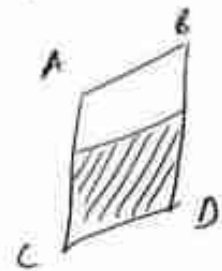
Penetration Depth

Consider a superconductor with a plane surface, with magnetic field H_e acting parallel to surface. From Ampere's law,



$$H_e (AB) = I_{enc}$$

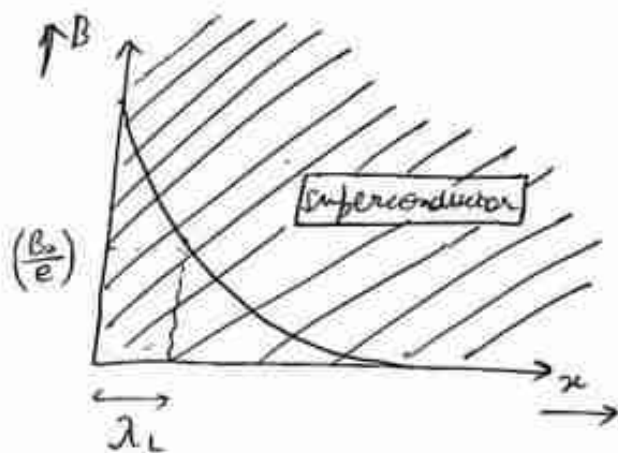
(Note that $H_{inside} = 0$ due to Meissner)



I_{enc} or surface current per unit length = H_e . This surface current produces a magnetization \vec{M} which exactly cancels H_e inside superconductor. Due to 0 resistivity, this current will remain almost constant indefinitely. Such currents are known as supercurrents.

keeping AB constant, I_{enc} remains same.

now if width AC of the loop is reduced, current remain unchanged \Rightarrow current density or \vec{J} increases. (Remember that there is no perfect surface current). For zero loop area current density approaches ∞ , which is not possible physical. \Rightarrow supercurrent cannot become absolute zero as one move from surface to interior. This is possible only if applied magnetic field penetrates the superconductor up to a small thickness near the surface.



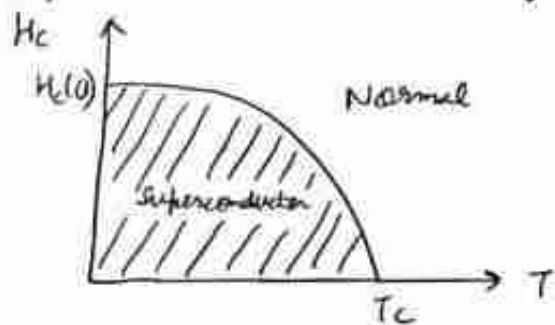
λ_L : characteristic length
or
London penetration depth
 $\approx 10^3 \text{ \AA}$



Experimentally, $H_c(x) = H_c(0) e^{-\left(\frac{x}{\lambda_L}\right)}$
(derived from London equations)

Critical field

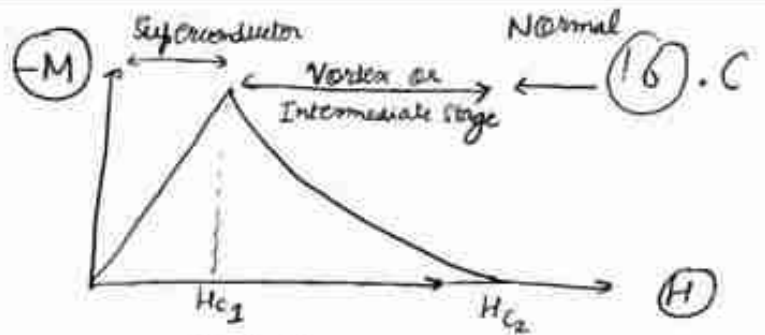
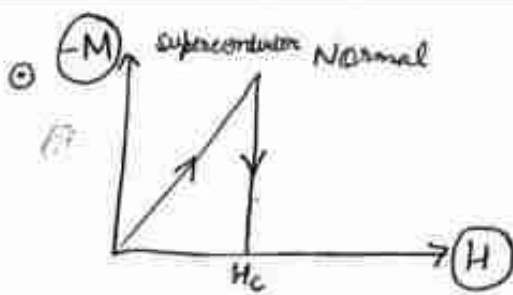
Superconducting stage is stable only in some definite range of Temperature & Magnetic Field.



$$H_c(T) = H_c(0) \left[1 - \left(\frac{T}{T_c} \right)^2 \right]$$

Type 1 and Type 2

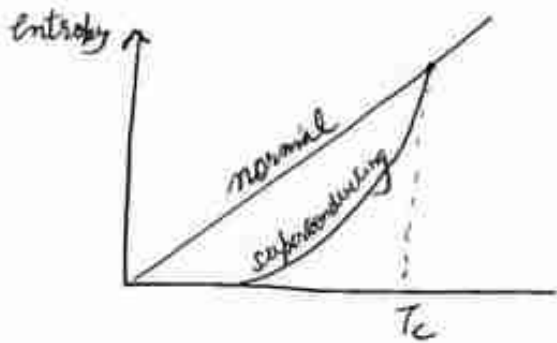
Classification depending on behaviour in external magnetic field is how strictly they follow Meissner effect



- Type I
- (Pb)
- soft superconductors
- due to very low H_c , very limited applications

- Type 2
- Pb-Bi Alloy
- Hard superconductor
- Practical applications
- After H_{c1} , flux begins to penetrate the specimen & for $H = H_{c2}$, flux penetrates completely

Entropy



- o $P-T$ curve depends on the process like PV curve. $y = mx + \text{c}$ adjacent is assumed for a process.
- marked decrease in entropy in superconducting stage.
- Electronic structure is mainly affected during superconducting transition.

→ some or all the thermally excited electrons in the normal state are ordered in the superconducting stage. Such an order may extend up to a distance of the order of $10^{-6}m$ in Type I superconductors. This range is called Coherence length (ξ_0)

[This helps to give another definition of type I & type 2 superconductors:

①: $\left(\frac{\lambda_L}{\xi_0}\right) < \frac{1}{\sqrt{2}}$ ②: $\left(\frac{\lambda_L}{\xi_0}\right) > \frac{1}{\sqrt{2}}$]

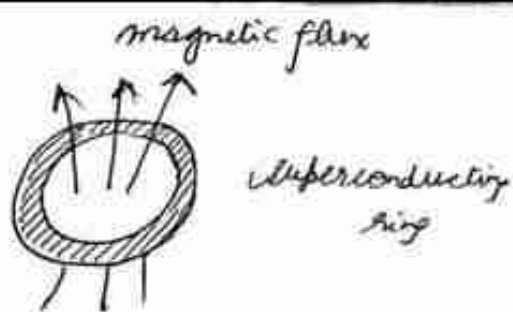
Isotope Effect (मौटे आदमी को superconducting बनाना)
बहुत मुश्किल होता है

$$T_c \propto \frac{1}{\sqrt{M}}$$

M: isotopic mass
 T_c : transition temp.

Flux Quantization

$$\phi = n \left(\frac{h}{2e} \right)$$
$$= n \text{ fluxoid}$$



where 1 fluxoid = $\frac{h}{2e} = 2.07 \times 10^{-15}$ Weber

3 Josephson Effects

a) d.c. Josephson Effect

due to tunnelling of superconducting electrons through a very thin insulator (1-5 nm) sandwiched between two superconductors, d.c. current flows across the junction even when no voltage applied.

b) a.c. Josephson Effect

When d.c. voltage V applied across junction, a.c. current of r.f. flows.

$$f = \left(\frac{2e}{h} \right) V$$

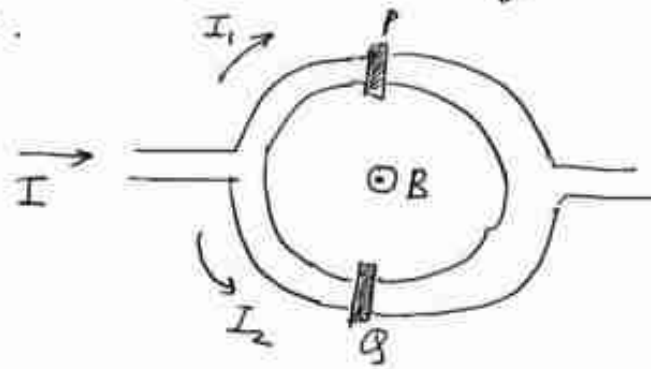
For $1 \mu V$, $f = 483.6 \text{ MHz}$

Units
$V = \left(\frac{d\phi}{dt} \right)$
$f = \frac{V}{\phi} = \frac{V}{\left(\frac{h}{2e} \right)}$

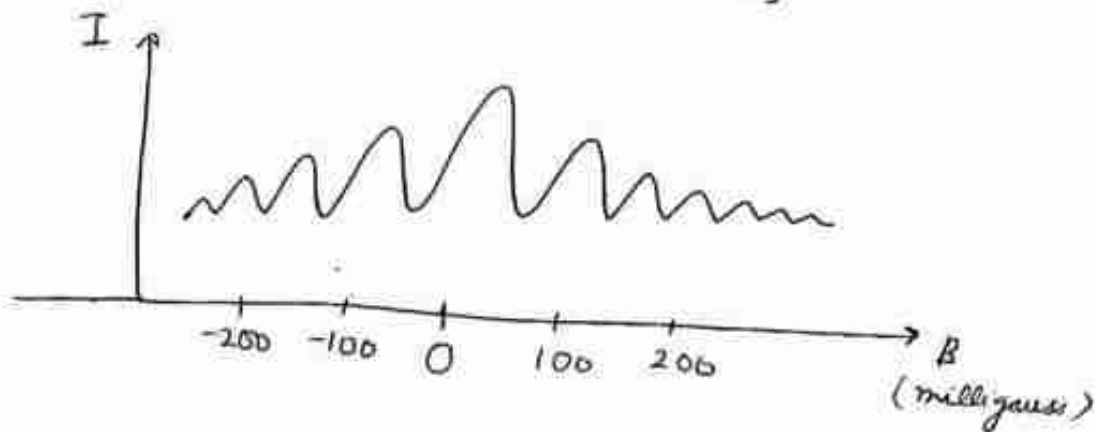
c) Quantum Interference

If a constant magnetic field is applied through a superconducting circuit containing 2 junctions, the maximum supercurrent shows interference effects depending on intensity of magnetic field.

Device used to show quantum interference is called SQUID.



16. D
 312 21244 I const -
 2121 21 3... Resistance
 of superconductor can
 vary... \rightarrow I can vary.
 Now with change in
 flux, resistance varies
 \rightarrow I varies, showing
 maxima at certain B.



- Since current is sensitive to small changes in magnetic field, SQUID can be used as a very sensitive galvanometer.
- Superconductor is characterized by a single wave function. Flow of supercurrent takes place between any 2 points where the wave function has different phases. Change in phase can also be brought about by applied electric & magnetic fields. States having different phases can be superimposed in SQUIDS.

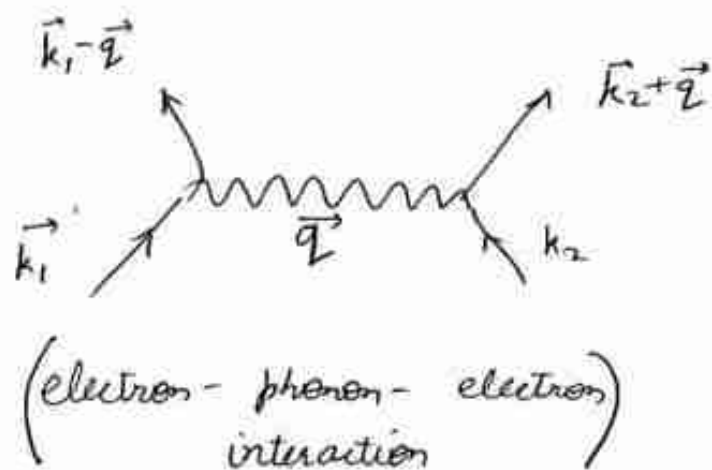
BCS Theory

- Electron-Phonon-Electron interaction is the basis of formation of a Cooper pair which results in superconduction.
- When an electron moves through a crystal, it produces lattice distortion & sets heavier ions into slow forced oscillations. Since the electron moves very fast, it leaves the region much before oscillations could die off. Meanwhile, if

Another electron happens to pass through this distorted region, it experiences an attractive force. This attraction lowers the energy of the electron, forming Cooper Pair.

(Note that repulsive force between electrons is small since Coulomb force is instantaneous while attraction mediated by lattice distortion is highly retarded in time)

- Smaller the mass of the ion, larger is the energy of the phonons emitted & hence larger should be transition temperature. Same conclusion is drawn from isotope effect. The isotope effect suggested that superconductivity is related to ions too \Rightarrow foundation for BCS theory.



\vec{k}_1, \vec{k}_2 : 2 electrons
 \vec{q} : lattice distortion or phonon production

Low Temperature Phenomenon

Binding Energy of Cooper pair, called energy gap $E_g \approx 10^{-3} \text{ eV}$
 Hence temperature $\approx \frac{10^{-3} \text{ eV}}{k} \approx 10 \text{ K}$. Above that Cooper pair will be broken.

Superconductivity due to Cooper Pair

Electron in a Cooper pair have opposite spin \Rightarrow Total spin = 0
 \Rightarrow Bosons i.e. any number of them can exist in same quantum state at the same time. A single wave function represents this system whose total energy is less than that of system of same no. of e^- with Fermi-energy distribution.

Therefore, a current in a superconductor involves all the Cooper pairs acting as a single unit. To alter such a current, huge amount of energy is required (as lattice scattering is not enough, as in normal conductors)
 \Rightarrow Current persists indefinitely.

Critical field

- As magnetic field applied is increased, energy of Cooper pair increases (b'coz they exclude the field from interior of superconductor for Meissner effect). Beyond critical value, Cooper pair is dissociated.

Meissner Effect

- Following Lenz's law, Cooper pairs move without any resistance & adjust their motion to produce a magnetic field opposite to applied field.

(2) Electrical Properties

- Conductivity σ
- Permittivity $\epsilon_r \epsilon_0$
- Susceptibility χ_e
- Resistivity ρ

(3) Thermal Properties

- C_p, C_v
- (Einstein, Debye's, Dulong)

(4) Optical Properties

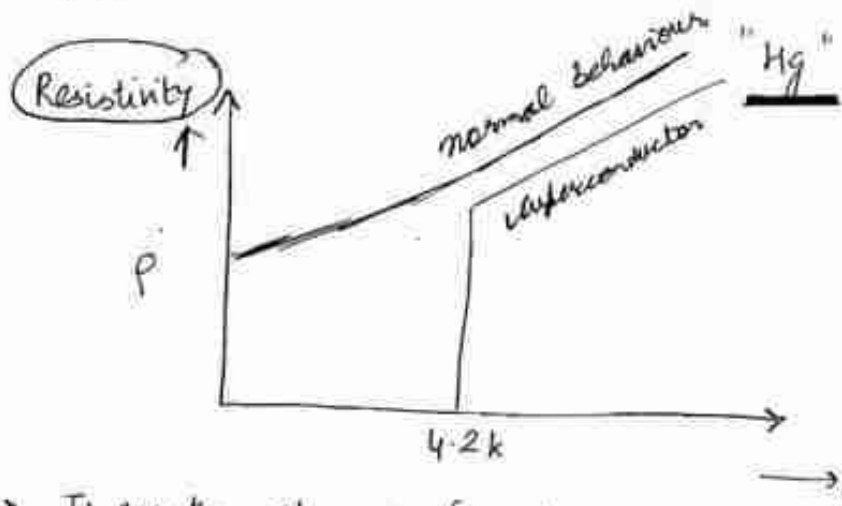
refractive index (n)

(5) Superconductivity

- Meissner Effect
- Josephson Effect
- High Temperature Superconductivity

Superconductivity

(Kamerlingh Onnes)
1911 K. Onnes



- It was then observed for other materials also
- Till 1957, there was no theory to explain it.

Joseph Bardin gave quantum theory to explain this.
Its called BCS theory or Bardian, Cooper & Shrieffer Theory

It offers quantum mechanical explanation for superconductivity

- There are multiple properties but we are interested only in few properties.

✓ In superconductor, current has been seen to flow for years. Some application of superconductor include:

- ① Storage element in memories of computer
- ② Design of Maglevs
- ③ Design of very sensitive Magnetometers $\approx 10^{-11}$ Tesla measurement.

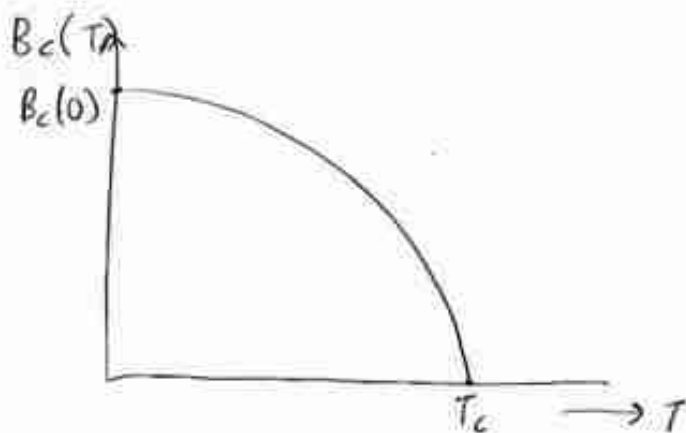
① Critical Magnetic Field

✓ By applying magnetic field, superconductivity can be destroyed.

$B_c(T)$ = Critical Magnetic field at Temp "T" to destroy superconductivity

$B_c(0)$ = critical magnetic field at Temp 0K to destroy superconductivity

$$B_c(T) = B_c(0) \left[1 - \left(\frac{T}{T_c} \right)^2 \right]$$



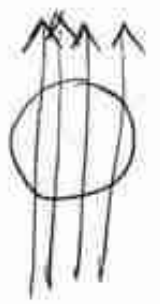
Parabolic Curve

→ इसमें B ज्यादा है, इसलिए superconductor के grains की alignment ही change कर देगा!!

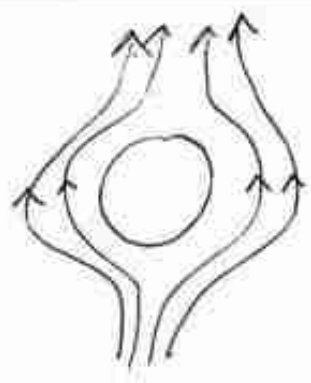
② Meissner Effect

✓ Whenever specimens of superconducting materials are cooled down to lower temperatures, Magnetic Field inside the superconducting material is removed

ie. for $T < T_c$, $B_{inside} = 0$



T > Tc



T < Tc

★ Meissner effect
important diagram

Magnetic field lines are "kicked out" of superconducting material below T = Tc

We know,

$$\vec{B} = \mu_0 (\vec{H} + \vec{M})$$

Now $\vec{B} = 0$ below Tc

$$\Rightarrow \boxed{\frac{M}{H} = -1}$$

$$\text{or } \boxed{\chi = -1}$$

$\frac{M}{H}$ is called Magnetic susceptibility χ

(a dimensionless quantity)

usually $\chi \approx 10^{-6}, 10^{-7}$ but here χ is a large negative quantity.

[Materials are classified on basis of susceptibility as Paramagnetic, Diamagnetic, Ferromagnetic.]

if $\chi = -10^{-7}$ to -10^{-6} : dipoles are aligned opposite to applied field.
They are Diamagnetic Material

$\chi = 10^{-4}$ to 10^{-7} : dipoles are aligned parallel to applied field
They are Paramagnetic Material

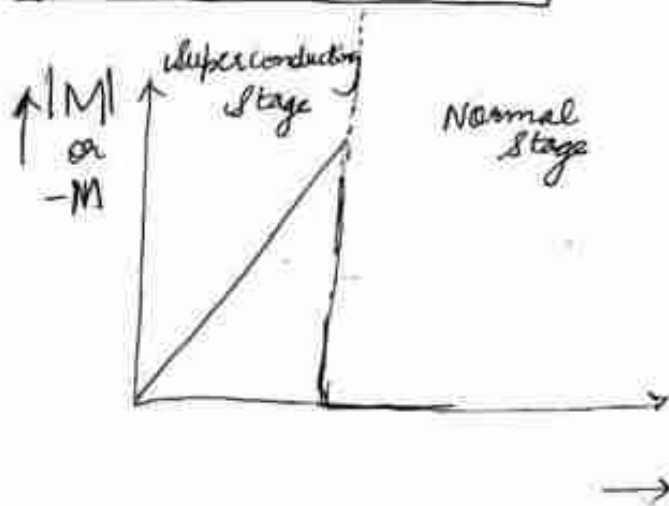
χ : large +ve : Ferromagnetic

$\chi = -1 \Rightarrow$ Perfect Diamagnetic

This property of superconductivity is exhibited as Meissner effect.

On the basis of Meissner Effects, we have 2 superconductor types:

1) **Type I superconductor**



$$\left(\frac{M}{H}\right) = -1$$

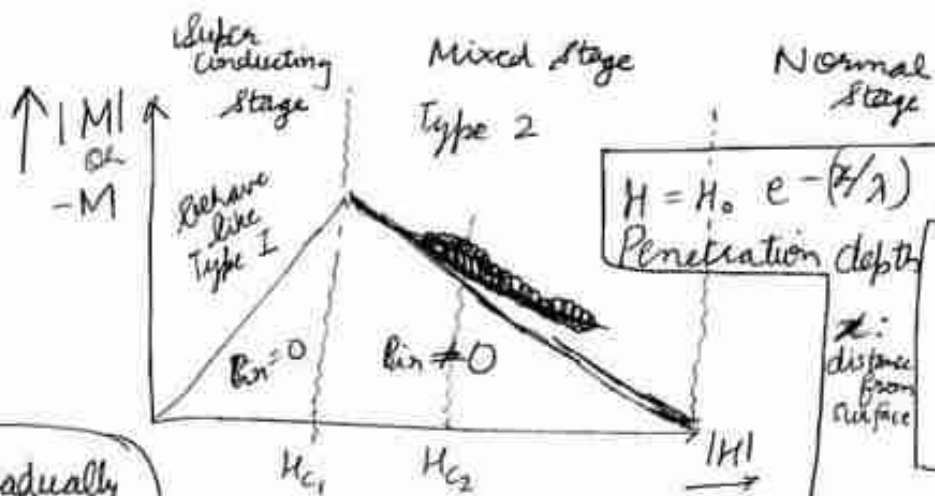
eg. Lead (Pb)

$B_{\text{inside}} = 0$

suddenly drops

2) **Type II superconductor**

Some amount of Magnetic Field will remain.



$$H = H_0 e^{-x/\lambda}$$

Penetration depth

x : distance from surface

eg. Pb-In Alloy (Lead-Indium)

gradually drops

$$H_{c2} = H_{c1} e^{-1} \quad \text{at } x = \lambda$$

λ : Penetration depth

There are two parameters demarcating Type II ⁽⁴⁾ ⁽¹⁹⁾ superconductors.

- ① Penetration Depth : λ
- ② Coherence length : ξ_0

$k = \left(\frac{\lambda}{\xi_0}\right)$ determines whether material is Type I or Type II

$$k < \frac{1}{\sqrt{2}}$$

Type I Material

★ $\lambda \approx 0 \Rightarrow k$ very small

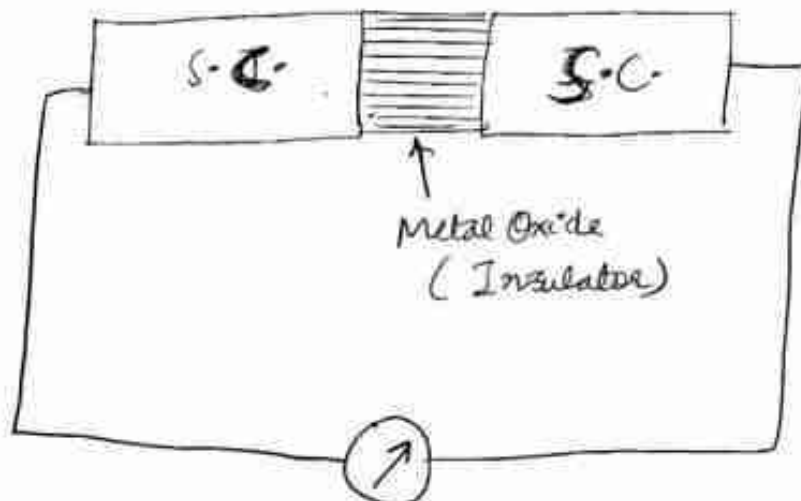
$$k > \frac{1}{\sqrt{2}}$$

Type II Material

B.C.S. Theory will successfully explain whole Meissner Effect.

③ Josephson Effect or Josephson Junction

Junction is made out of two superconductors (identical) with a very thin insulating layer in between, typically a Metal Oxide (sandwiched)



We observe Direct Current without application of any Potential. This is D.C. Josephson Effect.
Note that no \vec{E} or \vec{B} is applied, yet D.C. is created.

We also have A.C. Josephson Effect if a source of Electric field, a battery is applied.

AC Josephson Effect

$$\checkmark \hbar \omega = 2eV$$

($2e$ charge due to Cooper pair)

$$\Rightarrow \boxed{\omega = \frac{2eV}{\hbar}} \quad \text{A.C. Josephson Effect}$$

for $V=0$, $\omega=0 \Rightarrow$ D.C. Josephson Effect

$$\Rightarrow \boxed{\gamma = \frac{2eV}{h}}$$

3 applications of Josephson effect

① Measurement of very low potential differences
(10^{-6} volts)

- For $1 \mu\text{V}$, we have

$$\begin{aligned} \gamma &= \frac{2 \times 1.6 \times 10^{-19} \times 1 \times 10^{-6}}{6.6 \times 10^{-34}} \text{ Hz} \\ &= \frac{3.2}{6.62} \times 10^9 \text{ Hz} \end{aligned}$$

$$\boxed{\gamma = 483.3 \text{ MHz}}$$

I cannot discriminate b/w $1 \mu\text{V}$ and $2 \mu\text{V}$ but
I can differentiate $\gamma = 483.3 \text{ MHz}$ and 2γ .
Hence very sensibly device can be created.

② Most sensitive measurement of $\left(\frac{h}{e}\right)$ can be done
 $\left(\frac{h}{e}\right) = \left(\frac{2V}{\gamma}\right)$ (Now can apply, say, 1V)

③ To device very sensitive Magnetic galvanometers. (5) (20)

or Magnetometers

$$(B = 10^{-11} \text{ Tesla})$$

This is Explained on basis of fourth Property: Quantization of Magnetic Flux.

or
 (10^{-7} Gauss)

④ Quantization of Magnetic Flux

Note that "c" को हटाकर CGS units में आमतौर पर Remove "c" in S.I. units

If we make a closed loop of superconducting material, magnetic flux will be quantized.

$$\vec{B} \cdot \vec{A} = \Phi = S \left(\frac{h \cdot e}{2e} \right) \quad S \in \mathbb{N} \quad (1, 2, 3, \dots)$$

due to Cooper Pairs, there will always be $2e$

$$\frac{2e \Phi}{h} = 2\pi S = 2\pi, 4\pi, 6\pi \dots$$

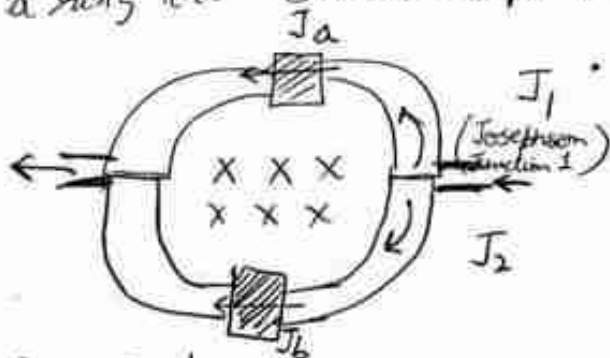
~~This consequence of the London equations~~

Application

SQUIDS : Superconducting
 Quantum
 Interference
 Devices

in order to make very sensitive magnetometers

Here we 2 Josephson junctions in parallel in order to form a ring i.e. closed loop. Hence quantized flux.



Now Apply external perpendicular field

$$\Phi = \left(\frac{h \cdot e}{2e} \right) n$$

Now A.C. is produced

Proof of Quantization (leave it)

$$J_1 = J_0 \sin \left[\delta(0) - \frac{e\Phi}{\hbar} \right]$$

↑
Current

↓
dimensionally correct

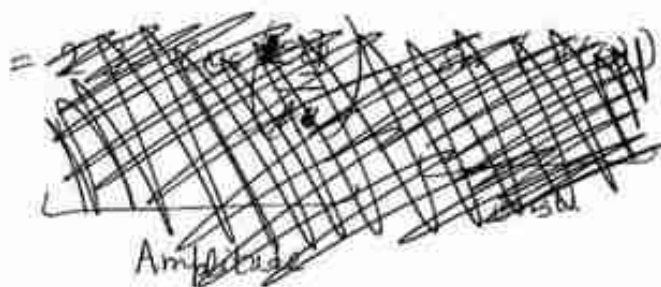
(Quantization)
वस ऐसे ही लिखना है !!

$$J_2 = J_0 \sin \left[\delta(0) + \frac{e\Phi}{\hbar} \right]$$

In absence of magnetic field
 $\delta_- = \delta(0)$
i.e. the two phases are equal

$$J = J_1 + J_2 \quad (\text{interference})$$

$$\Rightarrow J = J_0 \underbrace{2 \sin \delta(0)}_{\text{const.}} \underbrace{\cos \left(\frac{e\Phi}{\hbar} \right)}_{\text{function of } \Phi}$$



But when magnetic field is applied

$$J_a = J_0 \sin(\delta_a)$$

$$J_b = J_0 \sin(\delta_b)$$

$$\delta_a = \delta_0 + \left(\frac{e\Phi}{\hbar} \right)$$

$$\delta_b = \delta_0 - \left(\frac{e\Phi}{\hbar} \right)$$

This is written because we know phase difference

$\theta_2 - \theta_1$ around a closed circuit which encompasses a magnetic flux Φ is

$$\theta_2 - \theta_1 = \left(\frac{2e}{\hbar} \right) \Phi$$

For maximum current,

$$\left| \cos \left(\frac{e\Phi}{\hbar} \right) \right| = 1$$

$$\Rightarrow \frac{e\Phi}{\hbar} = n\pi$$

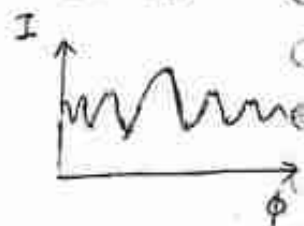
$$\Rightarrow \boxed{\frac{2e\Phi}{\hbar} = n}$$

$$\Rightarrow \boxed{\Phi = n \left(\frac{\hbar}{2e} \right)} \quad \checkmark$$

Hence we have derived that flux in closed loop of superconductor is quantized, and current becomes maximum

1st maxima of current @ $\Phi = \left(\frac{\hbar}{2e} \right)$

2nd maxima of current @ $\Phi = \left(\frac{2\hbar}{2e} \right)$



Hence observation of current maxima will give us ⁽⁶⁾ ⁽²¹⁾ the numerical values.

$$\phi = \frac{h c}{2e} \approx 10^{-7} \text{ weber} = 10 \text{ Maxwell}$$

(1 Maxwell = 10^{-8} Wb)

Cross section Area $\approx 1 \text{ cm}^2$
of
Squid

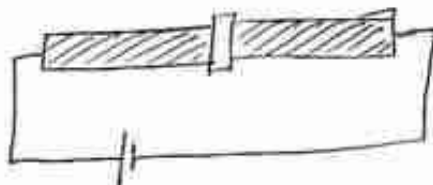
$$\Rightarrow B \approx 10^{-11} \text{ Tesla}$$

or
 10^{-7} gauss

1 gauss of $B = 10^{-4} \text{ T}$
Use of squid:

- 1) very sensitive magnetometer
- 2) oil prospecting
- 3) to detect amount of iron in body.

Proof of AC Josephson Effect

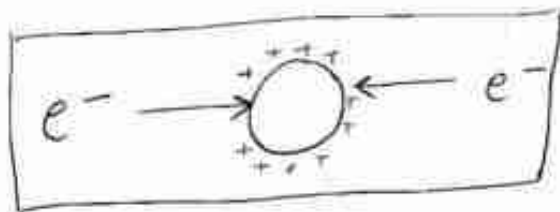


BCS Theory

Resistivity is due to Coulomb repulsion of free electrons

If somehow I am able to change Coulomb repulsion into attractive interaction, then ρ is reduced.

Direct repulsion is replaced by indirect interaction via lattice (phonon) i.e. e^- -lattice interaction whereby $2e^-$ form a closed pair



Interaction via lattice

e^- collides or interacts with lattice. Energy of lattice is reduced. Other e^- is attracted

Now the individual e^- (fermions) becomes a pair of e^- (boson) with $\vec{p}_1 = -\vec{p}_2$ and $\vec{s}_1 = -\vec{s}_2$

This is called Cooper Pair of electrons.

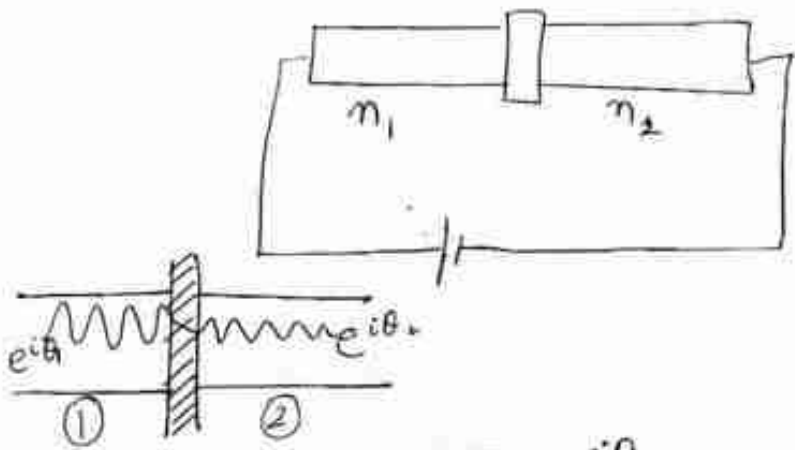
Now superconductivity is flow of Cooper pairs of e^-

Each Cooper pair is a boson.

All the properties are explained via this model.

Here $q = 2e$: quantum of Cooper pair

BCS theory's explanation of Josephson effect



n_2 : Cooper Pair per Unit volume if identical superconductor $n_1 = n_2$

$$\psi_1 \propto \sqrt{n_1} e^{i\theta_1}$$

$$\psi_2 \propto \sqrt{n_2} e^{i\theta_2}$$

$$|\psi|^2 \propto n_2$$

$$\Rightarrow |\psi| \propto \sqrt{n_1}$$

Quantum Solution

$$H\psi = E\psi$$

Non stationary state phenomenon \Rightarrow time dependent

$$\Rightarrow H\psi = i\hbar \frac{\partial \psi}{\partial t}$$

For region 1,

$$i\hbar \frac{\partial \psi_1}{\partial t} = T\psi_2 - eV_0 \psi_1$$

For region 2,

$$i\hbar \frac{\partial \psi_2}{\partial t} = T\psi_1 + eV_0 \psi_2$$

T is the interaction having dimension $\frac{2}{\partial t}$
 "multiplicative operator"
 (2) you can also take same sign of V_0 as battery i.e. $+eV_0\psi_1$ in 1st eqn

For D.C. Josephson Effects, corresponding Equations are:

$$i\hbar \frac{\partial \psi_1}{\partial t} = T\psi_2$$

$$i\hbar \frac{\partial \psi_2}{\partial t} = T\psi_1$$

In (1) and (2), replacing ψ from definitions

(7) (22)

$$i \frac{1}{2\sqrt{n_1}} \frac{dn_1}{dt} e^{i\theta_1} + \sqrt{n_1} e^{i\theta_1} \left(\frac{d\theta_1}{dt} \right) = T\sqrt{n_2} e^{i(\theta_2)} - \frac{eV_0 \sqrt{n_1} e^{i\theta_1}}{\hbar}$$

$$\Rightarrow \frac{1}{2\sqrt{n_1}} \frac{dn_1}{dt} i - \sqrt{n_1} \frac{d\theta_1}{dt} = -\frac{eV_0 \sqrt{n_1}}{\hbar} + T\sqrt{n_2} e^{i(\theta_2 - \theta_1)}$$

Put $\theta_2 - \theta_1 = \delta$

$$\Rightarrow e^{i(\theta_2 - \theta_1)} = \cos \delta + i \sin \delta$$

Separating real & imaginary components,

$$\frac{1}{2\sqrt{n_1}} \frac{dn_1}{dt} = T\sqrt{n_2} \sin \delta \quad \text{--- (3) } \checkmark$$

$$\sqrt{n_1} \frac{d\theta_1}{dt} = \frac{eV_0 \sqrt{n_1}}{\hbar} - T\sqrt{n_2} \cos \delta \quad \text{--- (4)}$$

$$\frac{d\theta_1}{dt} = \frac{eV_0}{\hbar} - T \sqrt{\frac{n_2}{n_1}} \cos \delta \quad \text{--- (5) } \checkmark$$

Similarly (2) yields (V' will be $-V$, δ' will be $-\delta$)

$$\frac{1}{2\sqrt{n_2}} \frac{dn_2}{dt} = -T\sqrt{n_1} \sin \delta \quad \text{--- (6)}$$

$$\frac{d\theta_2}{dt} = -\frac{eV_0}{\hbar} - T \sqrt{\frac{n_1}{n_2}} \cos \delta \quad \text{--- (7)}$$

} replace V_0 by $-V_0$ and δ by $-\delta$

Now $\left(\frac{dn_1}{dt}\right) = -\left(\frac{dn_2}{dt}\right)$ because current is same

Also can be seen from comparing (3) and (6)

subtracting (7) from (5)

$$\frac{d}{dt} (\theta_2 - \theta_1) = -\frac{2eV_0}{\hbar}$$

$$\boxed{\frac{d\delta}{dt} = -\frac{2eV_0}{\hbar}}$$

remember $\frac{d\phi}{dt} = \text{frequency}$

ϕ : Phase of function

$$\Rightarrow \boxed{\omega = \frac{2eV_0}{\hbar}}$$

$$\int_{\delta(0)}^{\delta(t)} d\delta = -\frac{2eV_0}{\hbar} \int_{t=0}^t \delta t = -\frac{2eV_0}{\hbar} t$$

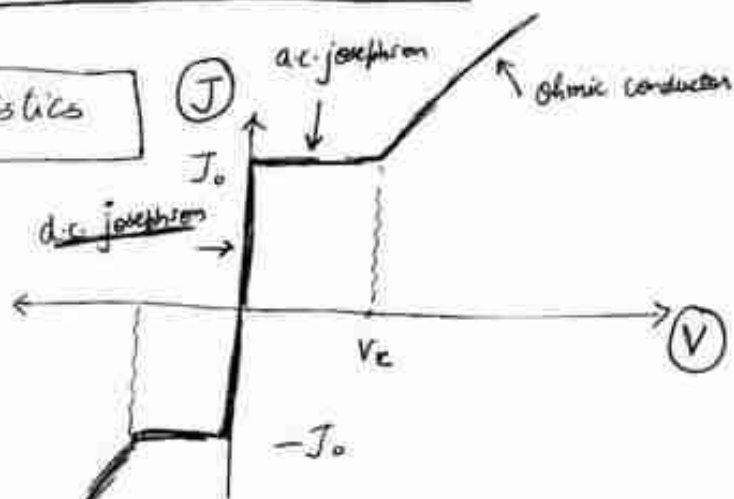
$$\Rightarrow \delta(t) = \delta(0) - \frac{2eV_0 t}{\hbar}$$

$$\boxed{J = J_0 \sin\left(\delta(0) - \frac{2eV_0 t}{\hbar}\right)}$$



Josephson Characteristics

$$V_c = \frac{\text{Energy gap}}{e}$$



< Read High Temperature Superconductors from page 299, 300

London Equations

London Equations explain the phenomenon of magnetic flux penetration inside a superconductor.

1st eqn

Let n, v be density & velocity of superconducting electrons.

Now, inside the superconductor

$$m \left(\frac{d\vec{v}}{dt} \right) = -e\vec{E}$$

$$\Rightarrow \left(\frac{d\vec{v}}{dt} \right) = - \left(\frac{e\vec{E}}{m} \right) \quad \text{--- (1)}$$

Also $\vec{J} = -ne\vec{v}$

$$\Rightarrow \frac{d\vec{J}}{dt} = -n \left(\frac{d\vec{v}}{dt} \right) \quad \text{--- (2)}$$

$$\Rightarrow \boxed{\frac{d\vec{J}}{dt} = + \left(\frac{ne^2}{m} \right) \vec{E}} \quad \text{London's 1st equation}$$

2nd eqn

Taking curl of 1st equation of London,

$$\vec{\nabla} \times \left(\frac{d\vec{J}}{dt} \right) = + \left(\frac{ne^2}{m} \right) (\vec{\nabla} \times \vec{E})$$

From Maxwell equation, $\vec{\nabla} \times \vec{E} = - \left(\frac{\partial \vec{B}}{\partial t} \right)$

$$\text{Hence } \vec{\nabla} \times \left(\frac{\partial \vec{J}}{\partial t} \right) = - \frac{ne^2}{m} \left(\frac{\partial \vec{B}}{\partial t} \right)$$

$$\Rightarrow \boxed{\vec{\nabla} \times \vec{J} = - \frac{ne^2}{m} \vec{B}} \quad \text{London's 2nd equation}$$

Explanation of flux penetration

From Maxwell Equations,

$$\vec{\nabla} \times \vec{B} = \mu_0 \vec{J}$$

Taking curl,

$$\vec{\nabla} \times (\vec{\nabla} \times \vec{B}) = \mu_0 (\vec{\nabla} \times \vec{J})$$

(from London equation)

$$\Rightarrow \vec{\nabla} (\vec{\nabla} \cdot \vec{B}) - \nabla^2 \vec{B} = \mu_0 \left[- \frac{ne^2}{m} \vec{B} \right]$$

$$\Rightarrow \nabla^2 \vec{B} = \left(\frac{\mu_0 ne^2}{m} \right) \vec{B}$$

$$\text{Let } \sqrt{\frac{m}{\mu_0 ne^2}} = \lambda \quad \text{: London's penetration depth}$$

$$\Rightarrow \nabla^2 \vec{B} = \frac{1}{\lambda^2} \vec{B}$$

$$\Rightarrow \boxed{B = B_0 e^{-\frac{x}{\lambda}}}$$

Where B_0 is the field at surface & x is the depth inside the superconductor.

